# Eastern Turki Royal Decrees of the 17th Century in the Jarring Collection

# KIM Hodong

There are eight documents in the Jarring Collection at the Lund University Library in Sweden originally issued by khans, princes, and khwājas in Eastern Turkestan during the 17th and the 18th centuries. In his handwritten catalogue, Gunnar Jarring called these "Eastern Turki judicial documents" and wrote that they had been "acquired by G. Raquette in Kashghar or Yarkand sometime in the early 20th century."<sup>1</sup> According to Raquette, he acquired a few "Eastern Turkestan documents" in 1914 from "an old Russian Aksakal" who had worked during the period of Consul Petrovskii. It is likely that those are the documents now preserved in Lund. Of these eight documents, Raquette published only one, Prov. 220, in facsimile in 1930.<sup>2</sup>

Admittedly, the history of Eastern Turkestan during the Later Moghul khanate (*Ulus-i Moghul*), also known as the "Yarkand khanate," is not well studied; what we know is only a rough contour of the political history based on a few chronological works by Muḥammad Ḥaydar Mīrzā, Shāh Maḥmūd Churās, and others.<sup>3</sup> Considering this obvious lack of knowledge, the importance of discovering

<sup>1</sup> This catalogue, consisting of 857 handwritten pages, has not been yet published (cf. EKSTRÖM, Per, and Ulla EHRENSVÄRD 1988 "A Note on the Jarring Collection of Eastern Turki and Other Oriental Manuscripts in Lund University Library," in *Turcica et Orientalia: Studies in Honour of Gunnar Jarring on His Eightieth Birthday 12 October 1987*, Stockholm: Swedish Research Institute in Istanbul, pp. 187–91), but most of the contents are now available on the web (http://laurentius.lub.lu.se/jarring/). I would like to thank Eric Nicander and Gunilla Törnvall of the Manuscript Department, Lund University Library, who not only kindly sent me the copies of these documents but also provided me with a detailed description of them. I also thank the Lund University Library for giving me the permission to publish the photocopies of these documents.

<sup>2</sup> RAQUETTE, Gustaf 1930 Eine kaschgarische Wakf-Urkunde aus der Khodscha-Zeit Ost-Turkestans, Lund: C.W.K. Gleerup. His work was critically reviewed by Giese (cf. GIESE, F. 1931 "Bemerkungen zu G. Raquette: Eine kaschgarische Wakfurkunde aus der Khodsca-Zeit Ost-Turkestans," Ungarische Jahrbücher 11, no. 3, pp. 277–83.). Raquette responded to his criticism in a paper (RAQUETTE, Gustaf n.d. "Einige Anmerkungen zur Beleuchtung von F. Gieses," n.p.), and Fuad Köprülü also published a short article, explaining the word "tüshimel" (KÖPRÜLÜ, Fuad 1938 "Bibliyografya," Vakıflar Dergisi 1, pp. 159–61).

<sup>3</sup> Cf. AKIMUSHKIN, O. F. 1976 Shah-Makhmud ibn Mirza Fazil Churas, Khronika, Moskva: Nauka; WEI Liangtao 魏良韬 1994 Ye'erqiang Hanguo shigang 『叶尔羌汗国史纲』, Ha'erbin: Heilongjiang jiaoyu chubanshe.

and investigating primary sources is self-evident. Among the above-mentioned eight documents, seven were issued in the 17th century, and they can be called "royal decrees." In view of their importance as primary source materials for the history of Central Asia in the 17th-century, I will provide general descriptions of each of the documents here,<sup>4</sup> along with transcriptions and translations.<sup>5</sup>

# 1. General Description of the Documents

The documents examined here, seven royal decrees, were all issued in the 17th century. All of these documents are written on hand-made paper without any watermarks, but the thickness of the paper varies. Listed in chronological order, they are:

- [1] Document 1 (Prov. 223), by Muhammad Khān in 1600 (260 ×395).<sup>6</sup>
- [2] Document 2 (Prov. 226), by Shāh Shujā' ad-Dīn Aḥmad Khān in 1606 (320  $\times 650$ ).
- [3] Document 3 (Prov. 221), by Shāh Shujā' ad-Dīn Aḥmad Khān in 1609 (305  $\times$ 465).
- [4] Document 4 (Prov. 225), by Sultān Mahmūd in 1628 (265 ×665).
- [5] Document 5 (Prov. 224), by 'Abd Allāh Khān in 1640 (300 ×740).
- [6] Document 6 (Prov. 220), by Yölbärs Khān in 1662 (380 ×785).
- [7] Document 7 (Prov. 227), by Muhammad Ismā'īl Khān in 1677 (285 ×605).

It is interesting to note that nearly exact copies of all these decrees except for Document 2 are stored at the Houghton Library, Harvard University.<sup>7</sup> These handwritten copies are very helpful in deciphering some obscure or blotted words. There is a small, rectangular seal stamped at the top-left corner of each copy. It has the four characters "拉島洛夫 (La-dao-luo-fu)," maybe standing for the Russian name "Radlov."<sup>8</sup> The copies are very well-made and even the seals were minutely

<sup>4</sup> I have omitted Prov. 222, which was issued by Khwāja Yaʻqūb b. Khwāja Dāniyāl in 5 Rabī<sup>•</sup> I, 1155 (May 10, 1742), because it belongs to the 18th century.

<sup>5</sup> Because many parts of the document Prov. 226 are illegible, I have not translated it here.

<sup>6</sup> These numbers are the width and the height (in mm) of the document papers.

<sup>7</sup> The Houghton Library also has a decree by Khwāja Yaʻqūb b. Khwāja Dāniyāl in 5 Rabīʻ I, 1155 (May 10, 1742), which is a handwritten copy of Prov. 222 of the Jarring collection. In addition to this, the Library holds a few other interesting copies, including another document issued by Khwāja Isḥāq Valī in Samarqand, which is not a copy but an original document with seals affixed.

 $^8~$  The second character could be  $\Uparrow$  not  $\clubsuit$  . In that case, the name could be read "Lavrov" instead of "Radlov."

imitated. I could not ascertain how the Houghton Library acquired these copies.

All of these documents were issued by reigning monarchs ( $kh\bar{a}n$ ) or princes (*sultān*), and they show striking similarities not only in their overall structure but also in their words and phrases. There is no doubt that the chancellery practice at the court of the Moghul khanate was fairly well-developed and regularized. Having examined and compared these seven decrees, we can draw a conclusion that the edicts consist of the following eight parts: (1) invocation, (2) addressor, (3) addressees, (4) beneficiaries and privileges, (5) admonitions and warnings, (6) date and place, (7) seal and finally (8) certification. It is interesting to note that this structure is almost exactly the same as that of the royal decrees issued by khans of the Mongol empire and its successor states. Although the chancellery practice and its peculiarities in the Mongol and the post-Mongol period have been quite extensively studied,<sup>9</sup> there is no mention about the existence of a similar tradition in Central Asia, i.e. in the Chaghatay Ulus. Thus I hope this study on the 17th-century edicts from Eastern Turkestan will contribute new knowledge to this subject. Now let us examine each of these parts more in detail.

# 1.1. Invocation

All the copies at the Houghton Library start with the phrase, *bi-ism-i subhānahu* ("In the Name of the Most Holy"),<sup>10</sup> which apparently corresponds to *bi-ism illāh al-raḥīm al-raḥīm* ("In the Name of Allāh, the Compassionate, the Merciful"), the most frequent invocation in Islamic literature. This last phrase is found in the edicts (*farmān, yarlīgh*) of Ghazān Khān (r. 1295–1304),<sup>11</sup> but before the Il Khans were

<sup>&</sup>lt;sup>9</sup> To take a few examples, see CLEAVES, F. W. 1951 "A Chancellery Practice of the Mongols in the Thirteenth and Fourteenth Centuries," *Harvard Journal of Asiatic Studies* 14, nos. 3–4, pp. 493–526; GRIGOR'EV, A. P. 1978 *Mongol'skaya diplomatika XIII–XV vv.*, Leningrad: Izdatel'stvo Leningradskogo universiteta; USMANOV, M. A. 1979 *Zhalovannye akty Dzhuchieva Ulusa XIV–XVI vv.*, Kazan': Izdatel'stvo Kazanskogo universiteta; MÉNAGE, V. L. 1985 "On the Constituent Elements of Certain Sixteenth-Century Ottoman Documents," *Bulletin of the School of Oriental and African Studies* 48, no. 2, pp. 283–304; MATSUKAWA Takashi 松川節 1995 "Daigen Urusu no meileibun shoshiki" 「大元ウルスの命 令文書式」, *Machikaneyama ronsō: Shigaku hen* (Osaka university) 29, pp. 25–52.

<sup>&</sup>lt;sup>10</sup> According to STEINGASS, F. 1892 *A Persian-English Dictionary*, London: Routledge & Kegan Paul (6th impression, 1977), p. 64, *subhānahu* means "Glory be to Him; the Most Holy (used as a name of God)."

<sup>&</sup>lt;sup>11</sup> RASHĪD al-DĪN 1994–95 *Jāmi* '*al-tavārīkh*, Muḥammad Rawshan, and Muṣtafā Mūsavī ed., 4 vols. Tehran: Nashr-i Alburz, vol. 2, pp. 1387, 1390, 1395, etc. Cf. THACKSTON, Wheeler M. tr. 1999 *Rashiduddin Fazlullah's Jami* '*u't-tawarikh= Compendium of Chronicles: a history of the Mongols*, part 3, Cambridge, Mass.: Harvard University, Dept. of Near Eastern Languages and Civilizations, pp. 708, 723, 731, etc.

converted to Islam their edicts began with the phrase of *möngke tngri-yin küchündür* ("by the power of Eternal Heaven"). Of course, the change from the "Eternal Heaven" to "Allāh" or the "Most Holy" was a natural result of the Islamization of the Mongols.

Among the original documents in the Jarring Collection, the phrase *bi-ism-i subhānahu* is found only in Document 1 and Document 4. Since this phrase was written in a different ink color and has gradually faded, it is less clearly legible than the main text. As for the other four documents, it is not certain whether this phrase was never included or was somehow damaged. Comparing the copy of the 1662 decree published by G. Raquette with the Houghton copy, we can recognize that the invocation in the latter was written on the uppermost edge of the paper. However since the original documents were badly damaged, it is quite possible that the uppermost parts containing the invocation were torn away.

#### 1.2. Addressor

The invocation is followed, without exception, by the name of the addressor, i.e. the person who issued the edict, and a phrase *sözüm* ("My Word"). Sometimes when a prince issued a decree, he invoked the authority of the reigning khan or a deceased ruler, using the phrase "*-ning yarlighidin*."<sup>12</sup> As already pointed out by V. V. Bartol'd, *sözüm* (or, in plural form, *sözümüz* "Our Word") is a literal translation of *üge manu* ("Our Word") in Mongolian.<sup>13</sup> During the imperial age of the Mongols, only the *qa'an*, i.e. the grand khan, could use the term *jarligh* ("edict"), or *yarligh* in Turkic, while local khans and such nobles as queens, princes, princesses, sons-in-law and high ministers used *üge* ("word").<sup>14</sup> Later, however, when local rulers became politically more and more independent from the great khan, the distinction between *jarligh/yarligh* and *üge* became blurred. Our documents also show this kind of confusion: although the word *sözüm* was used on the initial lines, in the text the

<sup>&</sup>lt;sup>12</sup> As for this expression and its political meaning, see WOODS, John. E. 1984 "Turco-Iranica II: Notes on a Timurid Decree of 1396/798," *Journal of Near Eastern Studies* 43, no. 4, pp. 331–37.

<sup>&</sup>lt;sup>13</sup> BARTOL'D, V. V. 1968 "Dvenadtsat' lektsii po istorii turetskikh narodov Srednei Azii," in *Sochineniya*, vol. 5. Moskva: Nauka, p. 180.

<sup>&</sup>lt;sup>14</sup> Bartol'd 1968: 434, note 15; POPPE, Nicholas 1957 *The Mongolian Monuments in Hp 'ags-pa Script*, Wiesbaden: Otto Harrassowitz; LIGETI, Louis 1972a *Monuments en écriture 'Phags-pa*, Budapest: Akadémiai Kiadó; CLARK, Larry Vernon 1975 "Introduction to the Uyghur Civil Documents of East Turkestan (13th–14th cc.)," Ph.D. thesis, Indiana University, pp. 216 and 248–49; SUGIYAMA Masaaki 杉山正明 2004 *Mongoru teikoku to Daigen Urusu* 『モンゴル帝国と大元ウルス』, Kyoto: Kyōto daigaku gakujutsu shuppankai, pp. 442–44.

term *yarligh* was freely used.<sup>15</sup> Khans and princes indiscriminately employed both terms for their written commands. Later, *yarligh* was used almost synonymously with *hukm* ("command") as we see in the chronicle of Shāh Maḥmūd Churās.<sup>16</sup>

Another feature of the documents that originated from the imperial period is the so-called "honorific elevation." The Mongols in the early 13th century also adpted it. It was a well-established practice in China by which such words as "heaven," "holy," "edict," "ancestors" and personal names of rulers were written on a new line starting at a point a slightly higher than the other lines. Having adopted this practice, the Mongols became intermediaries in disseminating it to other parts of Eurasia. The Mongols continued this practice even after they converted to Islam and horizonal Arabic scripts replaced the vertical Uighur and Pags-pa scripts. However, new vocabularies and expressions pertaining to Islam gradually found their way into official documents, and some innovations were introduced. We cannot go into detail here, but it would be interesting to examine the dissemination and transformation of these chancellery peculiarities in the Mongol and the post-Mongol periods. The main point here is the distinctive feature of "elevation" in our documents. First of all, different alignment of the lines where the names of addressors and addressees are written certainly reflects the similar format from the early 13th century. Second, instead of starting a new line with an honorific word, scribes simply inserted a caret mark like // or Arabic numerals and wrote the omitted phrase at the right margin of the document. Third, gold colored ink was used for the lines of invocation and addressor's name. Additional notes on the right margin and the two words on the lines of addressees, i.e. garīndāshlar ("brothers") and farzandlar ("sons"), are also written in gold ink. Such "elevation" and its variations are also found in other parts of the former Mongol empire.

Now, the addressors of the seven decrees are as follows.

[1] Document 1: Muhammad Khān Ghāzī. He was the son of 'Abd al-Rashīd Khān (r. 940/1533 ~ 967/1559–60) and took the throne three months after the death of his brother and predecessor, 'Abd al-Karīm Khān (r. 967/1559–60 ~ 999/1590–91). According to Shāh Mahmūd Churās, he was enthroned one year before 1000, i.e. A.H. 999/1590–91, and ruled independently for 18 years. Based on this, Akimushkin estimates that his reign ended in 1018/1609–10.<sup>17</sup> However, we cannot accept this because of the date of Document 2, as dis-

<sup>15</sup> See Document 1, line 12; Document 3, line 10; Document 4, lines 2, 11 & 16; Document 5, line 23; Document 6, line 2 & 18; Document 7, line 13.

<sup>16</sup> Akimushkin 1976: (text) f. 79r.

<sup>17</sup> Cf. AKIMUSHKIN, O. F. 1984 "Khronologiya pravitelei vostochnoi chasti Chagataiskogo ulusa (liniya Tugluk-Timur-khana)", in B. A. Litvinskii, ed. *Vostochnyi Turkestan i Srednyaya Aziya: Istoriya, kul'tura, svyazi*, Moskva: Nauka, pp. 156–63; Akimushkin 1976: 290, note 164.

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cussed below.

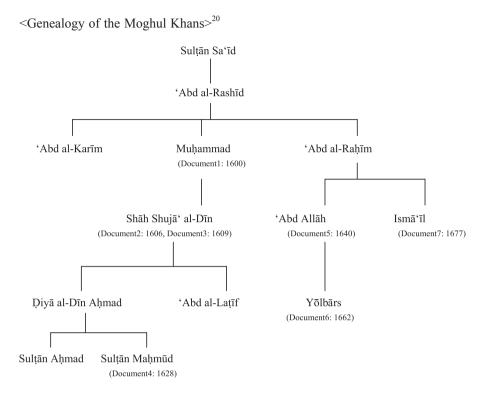
- [2] Documents 2: Abū al-Manşūr Shāh Shujā' ad-Dīn Aḥmad Bahādur Ghāzī. He is Shāh Shujā' ad-Dīn Aḥmad, who was enthroned after the death of his father Muḥammad Khān. Document 2 was issued at the beginning of Rabī' I, 1015, which corresponds to July of 1606. The seal affixed on this document is exactly same as the one on Document 3 which was issued in 1609. Therefore, it is beyond doubt that he was already khan in July, 1606, and his predecessor Muḥammad Khān had died before that date. Shāh Maḥmūd Churās asserted that Muḥammad Khān ruled for 18 years, but based on this new evidence, we can only conclude that Muḥammad Khān reigned 17 years, covering the period from 999/1590 to 1015/1606. Shāh Shujā' ad-Dīn Aḥmad was killed ca.1028/1618–19 by the amīrs belonging to the Shāh family, and for that reason he was also called "Martyred Khan" (*Khān-i shahīd*). Apparently *Abū al-Manşūr* ("The Victorious") and *Bahādur Ghāzī* ("The Brave Holy Warrior") were his epithets (*laqab*) during his lifetime.
- [3] Document 3: Abū al-Manşūr Shāh Shujā' ad-Dīn Ahmad Bahādur Ghāzī (the same person above)
- [4] Document 4: Abū al-Mutahhar Sultān Mahmūd. Sultān Mahmūd (Oilich Khān) and his elder brother Sultān Ahmad (Pulad Khān) are the sons of Sultān Diyā al-Dīn Ahmad. Abū al-Mutahhar ("The Purified") is an epithet for Sultān Mahmūd. After the assassination of Shāh Shujā' ad-Dīn (Sultān Divā al-Dīn Ahmad's father) in 1028/1618–19, his younger son 'Abd al-Latīf (Āfāg Khān) became khan because Sultān Divā al-Dīn had died earlier, around 1023/1614-15. 'Abd al-Latīf ruled until his death in 1036/1626-27. According to the chronicle of Shāh Mahmūd Churās, influential amīrs consulted and decided to enthrone Sultan Ahmad, who was called in from Agsu to Yarkand, the capital city of the khanate. However, his vounger brother Sultān Mahmūd, who was staying in Kashghar, did not assent and continued to challenge Ahmad's khanship. In 1042/1632-33, he succeeded in expelling his brother, but when Mahmūd died in 1045/1635-36. Sultān Ahmad regained the title and ruled until 1048/1638-39.18 Based on this chronology, and considering that Sultan Mahmūd did not call himself khan, we can assume that this decree, written in Rabī' al-ākhir, 1038 (November 28 - December 26, 1628), was written after the death of 'Abd al-Latīf and before his ascent to the khanship. It was the period when Sultan Ahmad assumed the khanship in Yarkand and made khutba and sekke in his own name, which Sultan Mahmud did not acknowledge. It is not strange that Sultan Mahmud begins this decree with the phrase of "By the Edict of My Father, His Majesty Khān," not mentioning the name of his brother, who was actually the reigning khan. Although his father Sultān

<sup>&</sup>lt;sup>18</sup> See Akimushkin 1984: 160–62; Akimushkin 1976: (text) ff. 66v–68r.

Diyā al-Dīn Ahmad had never been called khan during his lifetime, we find a phrase in the middle of the decree: "His Majesty Khan, my grandfather, and His Majesty, my father." This suggests the possibility of a posthumous conferment of the title. It is also interesting to note that the estate mentioned in this decree was located in Khotan, which demonstrates that not only Kashghar but also Khotan were included under the rule of Sultān Mahmūd.

- [5] Document 5: Abū al-Fath 'Abd Allāh Bahādur Khān Ghāzī. 'Abd Allāh, son of 'Abd al-Rahīm Khān, ruled Turfan until his father's death in 1044/1634–35. *Abū al-Fath* ("The Triumphant") is his epithet. In 1048/1638–39, he attacked and expelled Sultān Ahmad and reunified the entirety of Eastern Turkestan. This edict was written only two years after this event. In 1078/1667–68, after reigning thirty years and faced with an attack by his son Yolbars, he took asylum in India and performed the pilgrimage to Mecca.<sup>19</sup>
- [6] Document 6: Abū al-Ghāzī Yōlbārs Bahādur Khān Ghāzī. Yōlbārs Khān was the son of 'Abd Allāh Khān, and *Abū al-Ghāzī* ("The Holy Warrior") is his epithet. At the age of eight he was sent to Kashghar, where he ruled for about 30 years. Toward the end of 'Abd Allāh Khān's reign, his domain extended to Khotan and Keriya and became so powerful that he began to challenge his father's throne. However, around 1076/1666 he was defeated by the allied army of 'Abd Allāh Khān of Yarkand and his brother Nūr al-Dīn Sultān of Aqsu and fled to the "Qalmaqs," i.e. Junghars. He succeeded in taking Kashghar and Yarkand only after the flight of his father in 1078/1667–68. This decree was issued in 1662 when he was ruling Kashghar as sultān and his father was still a reigning khan. That was why he began the decree with the phrase "By the Edict of His Majesty, My Father." Giese was mistaken in translating *dadam* as "mein Grossvater."
- [7] Document 7: Muḥammad Ismā'īl Bahādur Ghāzī. He was the younger brother of 'Abd Allāh Khān, and the son of 'Abd al-Raḥīm Khān. After the murder of Yolbārs Khān in 1670 by a Junghar chief called Erke Beg, Ismā'īl, who had been ruling Aqsu, Bai and Kucha, was enthroned in Yarkand with the support of the Qarataghliq khwājas and the Junghar troops. Around 1680, however, he was deposed by Galdan, a new Junghar ruler, who allied himself with Āfāq Khwāja, the leader of the Aqtaghliq khwājas.

<sup>&</sup>lt;sup>19</sup> For a more detailed description of his reign, see Wei 1994: 126–41.



#### 1.3. Addressees

The decrees enumerate the people to whom they were addressed. Generally their titles, not personal names, are written. The list of addressees starts with a reference to the "brothers" (*qarīndāshlar*) and "sons" (*farzandlar*) of the addressors in two lines, and the two words, *qarīndāsh* and *farzand*, are usually written in gold color. Then it is followed by the grandees in the court (*umarā'*, *vuzarā'*, etc.), high military and civil officials, religious leaders, and finally residents of a certain province, town, or village. In the edicts from the 13th and 14th centuries we find a similar enumeration of officials. For example, the edict of Mangala (1276) in Pagspa script includes, right after the name of addressor, "A writ, addressed to military commanders, military personnel, *darughas* of cities and villages, to *noyans*, and to messengers going to and fro."<sup>21</sup> Almost the same statements are found in the edict of Buyantu Khan (1314) and in the edict of Dharmapāla's Widow (1321) as well.<sup>22</sup>

<sup>22</sup> Poppe 1957: 49, 52.

<sup>&</sup>lt;sup>20</sup> The dates of the edicts issued by each ruler are indicated in parentheses.

<sup>&</sup>lt;sup>21</sup> Poppe 1957: 47.

In the edict of Tughluq Temür (1352), the founder of the Moghul Khanate, we also see, "To Iduqud Ching Temür of Qocho, to *darughas* and *noyans* beginning with Yus Qya and Qudlugh Qya, and to the officials (*tüshimed*) beginning with Turkis Temür, Tükel Qya, and Kerei....<sup>23</sup> However, compared with these edicts, the list of the addressees in the royal decrees of the 17th century became more elaborate. Therein are found almost 30 different official titles, and they can be divided into several groups: high military and administrative officials, religious dignitaries, and local officials. Usmanov's study shows that a similar development is observed in the domain of the Jochi Ulus.<sup>24</sup> The following are official titles and terms mentioned in the decrees (in alphabetical order):

- · 'amaldār & şāḥib-i jam': "tax collector."<sup>25</sup>
- *aqsaqal* & *qarasaqal*: "village elder." The literal meanings are "white-beard" and "black-beard."
- · arbāb: "lords, chiefs."
- *aymaq-begi*: "tribal leader." Raquette translated *aymaq-begi* as "Distrikts-Beg," to whom the *yüz-begi* and *on-begi*, governing small districts, belonged.<sup>26</sup> However, as is generally known, *aymaq* means "clan, tribe."<sup>27</sup> Shāh Maḥmūd Churās writes in his work that when Ismā'īl Khān was marching toward Yarkand, "*aymaq* of Dolan and Bairin" joined him in the village of Barchuq.<sup>28</sup> This shows that the term *aymaq* was applied to a group which still maintained tribal solidarity. Therefore, *aymaq-begi* should be understood not as "district beg," but rather as "tribal leader."
- *beg begät*: "beg and begs." The word *begät* seems to be a plural form of *beg*. In the Old Turkic inscriptions we find words with the plural ending of -t, for example, *oghlit*, *tarqat*, etc.<sup>29</sup> However, since the writing is not clear, it is possible to read it as *yigit* (meaning "cavalry soldier").
- · bitikchi: "scribe."

<sup>23</sup> LIGETI, Louis 1972b *Monuments préclassiques* (vol. 1: XIII<sup>e</sup> et XIV<sup>e</sup> siècles), Budapest: Akadémiai Kiadó, pp. 220, 222, 229, etc.

<sup>24</sup> Usmanov 1979: 205–28.

<sup>25</sup> On these words, see GIESE, F. 1931 "Bemerkungen zu G. Raquette: Eine kaschgarische Wakfurkunde aus der Khodsca-Zeit Ost-Turkestans," *Ungarische Jahrbücher* 11, no. 3, p. 279.

<sup>26</sup> Raquette 1930: 19, note 2.

<sup>27</sup> BUDAGOV, L. 1869. *Sravnitel'nyi slovar' Turetsko-Tatarskikh narechii*, vol. 1. St. Peterburg: Tipografiya Imperatorskoi Akademii Nauk, p. 208; SHAW, Robert Barkley 1878 *A Vocabulary of the Language of Eastern Turkistán*, Extra number to *Journal of the Asiatic Society of Bengal* 47, part 1, p. 38.

<sup>28</sup> Akimushkin 1976: 247, (text) f. 84r.

<sup>29</sup> TEKIN, Talat 1968 *A Grammar of Orkhon Turkic*, Bloomington: Indiana University Press, p. 122.

- *darugha*: In the Mongol imperial age, the official title of *darugha* or *darughachi* was ubiquitous. The root of this term is *daru* which means "to press; to oppress; to pursue; to subdue; to stamp; to print; to affix a seal." The primary function of this office was the collection of tributes in the conquered regions.<sup>30</sup> During the Timurid period, *darugha* functioned as "a governor, either over a region or over a city or town, governing sometimes in conjunction with a local native ruler."<sup>31</sup> It is not clear what the function of a *darugha* was in 17th century Eastern Turkestan, but considering that it was mentioned next to *hākim* (also in Document 7, line 9), it seems that a *darugha* was still a relatively high official responsible for the collection of taxes and tributes. Later in the 19th century, however, the status and influence of this office seem to have gradually weakened, and it became that of a lower functionary.<sup>32</sup>
- *hurchin-begi*: This title is mentioned three more times in our documents (lines 9 and 10 in Document 6, and line 8 in Document 7). According to Raquette, the meaning of *hurchin* was completely unknown to the people of Eastern Turkestan at the beginning of the 20th century. He points out the existence of another form, *khurjin* ("saddle-bag")-*beg*, which he regards a folk-etymological modification of *hurchin-beg*.<sup>33</sup>
- ishchi & gushchi: literally "worker and listener." Raquette and Giese read these words ishchi kushchi and translated them as "Arbeiter und sich Mühende,"<sup>34</sup> assuming that kush is related with kuch (power, endeavor). However, I think the last word should be read gushchi, which comes from the word gush meaning "ear." According to J. Th. Zenker and L. Budagov, gushchi means "listener, spy, emissary."<sup>35</sup> Thus, these words literally mean "worker and listener," but they seem to have been lower functionaries who performed miscellaneous duties and

<sup>30</sup> On the institution of *darughachi*, Endicott-West's work is the most detailed (see ENDICOTT-WEST, E. 1989 Mongolian Rule in China: Local Administration in the Yuan Dynasty, Cambridge, Mass.: Harvard University Press). For a fresh discussion on the relations between *darughachi* and *basqaq*, see OSTROWSKI, D. 1998 Muscovy and the Mongols: Cross-cultural Influences on the Steppe Frontier, 1304–1589, Cambridge: Cambridge University Press, pp. 36–45.

<sup>31</sup> MANZ, Beatrice Forbes 1985 "The Office of *Darugha* under Tamerlane," *Journal of Turkish Studies* 9 (An Anniversary Volume in Honor of Francis Woodman Cleaves), pp. 59–69.

<sup>32</sup> KIM Hodong 2004 Holy War in China: The Muslim Rebellion and State in Chinese Central Asia, 1864–1877, Stanford: Stanford University Press, p. 13.

<sup>33</sup> Raquette 1930: 19–20; cf. RAQUETTE, Gustaf. 1914 *Eastern Turki Grammar: Practical and Theoretical with Vocabulary*, (Mitteilungen des Seminars für Orientalische Sprachen, Jahrgang XV, XVI, XVII, Abteilung II: Westasiatischen Studien), Berlin 1914, p. 29.
 <sup>34</sup> Raquette 1930: 19–20; cf., p. 20, note 1.

<sup>35</sup> ZENKER, Theodor 1866–76 *Türkisch-Arabisch-Persisches Handwörterbuch*, Leipzig: W. Engelmann, Repr. Hildesheim: Georg Olms Verlag, 1979, p. 774; Budagov 1869: 156.

listened to rumors and complaints from the people. In Document 5 (Line 10) we see a similar pair of words, *söz* and *ishi* ("speech and action").

- · kalāntar: "leader."
- *kökbashi*: "supervisor of agriculture." This word is made of *kök* (blue, heaven, root, harmony) and *bash* (head). Raquette explains that this is an official who supervises agriculture and crops.<sup>36</sup>
- *ming-begi*: "chief of a thousand."
- *mīrāb*: "supervisor of water." This word is made of *mīr* and *āb*, which means literally "the lord of water." It is the title of an official who supervises the distribution of water and the repair of canals.
- *mutavallī*: "Superintendent or treasurer of a mosque; an administrator or procurator of any religious or charitable foundation; a prefect, governor."<sup>37</sup>
- *on-begi*: "chief of ten." As Raquette has already remarked, *tümän-begi*, *ming-begi*, *yüz-begi* and *on-begi* were originally military titles, but as the Moghuls moved into the Tarim Basin and their nomadic tribal bonds became gradually weakened, these titles seem to have transformed into those of civil officials governing local districts.
- · qādī: "judge."
- *qushun-begi*: "chief of an army corp." *qushun* came from a Mongolian word *qoshighun*, meaning originally "beak, peak," then "vanguard," then "army corp," and finally "banner" (administrative unit).<sup>38</sup> It still has the same meaning in the modern Uyghur language.<sup>39</sup>
- sharīk: "merchant." Raquette read this word as *tezik* (from the verb *tizmek* meaning "zu stellen, in Glieder, Reihen usw. zu ordnen") and translated it as "Knecht." Thus he rendered the phrase *khwāja tezik* as "Herrn und Knecht." However, it is beyond doubt that this word should be read *sharīk*. Giese, based on Steingass (p. 743: "a partner in trade, companion, associate"), correctly translated the phrase "Hodschas und Genossen."<sup>40</sup>
- *shaykh*: "tribal or religious elder."
- · sipāhī: "soldier."
- *tavachi*: "inspector of the army." Raquette translates this word as "Inspektoren."<sup>41</sup>
   In the Timurid sources we find numerous mentions of this title. According to
   Beatrice Manz, "*tovachi*s were troop inspectors, who had as their task the supervision of the numbers, condition and equipment of the army, along with con-
- <sup>36</sup> Raquette 1930: 21, note 3.
- <sup>37</sup> Steingass 1892: 1171.
- <sup>38</sup> DOERFER, G. 1963–75 *Türkische und mongolische Elemente im Neupersischen*. Vol. 1 Wiesbaden: Otto Harrassowitz, pp. 406–10.
- <sup>39</sup> Uyghurchä-Khänzuchä lughät 1982, Ürümchi: Xinjiang renmin chubanshe, p. 712.

<sup>41</sup> Cf. Zenker 1866: 317 (chef, préposé, inspecteur).

<sup>&</sup>lt;sup>40</sup> Giese 1931.

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scription for campaigns and the transmission of orders from the sovereign to the soldiers."<sup>42</sup>

- *teyarchi*: literally "the one who makes something ready (*teyar*)."
- · tümän-begi: "chief of ten thousand."
- *tüshmel*: "official." Both Raquette and Giese failed to comprehend the correct meaning of this word. It is actually a Mongolian word meaning "official." In several decrees of the Moghul khanate in the 14th century we find the plural form of this word *tüsimed*.<sup>43</sup>
- · ulugh kichik khwāja: "great and little khwāja."
- · yüz-begi: "chief of a hundred."

# 1.4. Beneficiaries and Privileges

In the six decrees we find diverse privileges bestowed upon the beneficiaries who are indicated in the text. They are actually the recipients of the decrees. These privileges range from the confirmation of the private right over a certain tract of land or water and the conferment of the *vaqf* land, to the bestowal of official titles. The beneficiaries and the privileges mentioned in the decrees are as follows:

- [1] Document 1: The bestowal of the privilege of *tarkhān* and the exemption from levies to the shaykhs of a holy mausoleum (the location not identified).
- [2] Document 2: The bestowal of the titles of *shaykh* and *mutavallī* to a person at a certain mausoleum.
- [3] Document 3: The bestowal of the governorship of [?] Tepe to Abū [?] Beg.
- [4] Document 4: The confirmation of the private rights over land about the size of 20 *patmans* at Altunchi Ariq in the province of Khotan, to Mīrzā Muḥammad Yaʿqūb, the son of Amīrā Ayyūb Beg Churās.
- [5] Document 5: The confirmation of the private right over six sections of land at the town of Opal in the province of Kashghar, to the late Mīr Maḥmūd.
- [6] Document 6: The bestowal of the water of one canal and a section of land, at the towns of Astin Artuch and Üstün Artuch in the province of Kashghar, as *vaqf* to Sayf Allāh Beg Churās.
- [7] Document 7: The bestowal of the titles of *shaykh*, *mutavallī* and *qādī* at the mausoleum of Satuq Boghra Khan, in the town of Astin Artuch in the province of Kashghar, to Nizām Khwāja.

<sup>42</sup> MANZ, Beatrice Forbes 1989 *The Rise and Rule of Tamerlane*, Cambridge: Cambridge University Press, pp. 173–74.

<sup>43</sup> See Ligeti 1972b: vol. 1, 216–22. For a more detailed discussion, see Köprülü 1938: 159–61.

When a landed property is mentioned, its boundaries (*haddī*) are usually explained by the so-called "four boundaries" (*tört haddī* or *siči*).<sup>44</sup> According to research by Mori Masao, who analyzed the Uyghur contract documents found in the Turfan area, the general pattern follows the direction of east—south—west—north, and, this pattern, unlike the Chinese pattern of east—west—south—north, originated from the customs of the Old Turkic people.<sup>45</sup> Three of the six documents under our perusal contain information about the "four boundaries." It is interesting to note that all three give different directions: north—east—south—west (Document 4), east—south—west—north (Document 5), east—north—west—south (Document 6). It seems to be impossible to draw a conclusion from this data whether the general pattern formerly used in Eastern Turkestan was abandoned or not.

#### 1.5. Admonitions and Warnings

After clarifying the privileges, the royal decrees introduce a section which invariably begins with the phrase "as soon as you see this edict of splendor and authority...." The only exception is Document 7. I cannot say if this is just an exception or a change in chancellery practice. This section contains not only admonitions to those who enjoyed the privileges but also warnings to the officials in the concerned area not to interfere, or meddle with, their special rights. In this sense, again we cannot help but point out its striking similarity to the edicts of the 13th and 14th centuries.

Especially interesting are a number of regular and irregular taxes enumerated in Document 6 and Document 7, which provide important information on the socio-economic history of Eastern Turkestan in the 17th century. This is a list of the terms given:  $^{46}$ 

 ālūqāt: Giese interprets this word as Arabic feminine plural form of alūgh. In Line 21 of Document 5 we see alūqī, which seems to be its singular form. Alūq~ alūqī~ ālūqāt apparently have the same root of al- ("to take"). In Western Turkestan we find frequent mentions of alugh~alghat employed to mean "levy

<sup>44</sup> On the term *tört haddī*, see ERDAL, Marcel 1984 "The Turkish Yarkand Documents," *Bulletin of the School of Oriental and African Studies* 47, no. 2, p. 281. The word *siči* is transcription of Chinese *sizhi* ('four extremes').

<sup>45</sup> MORI Masao 護雅夫 1967 Kodai Toruko minzokushi kenkyū I 『古代トルコ民族史研究 I』, Tokyo: Yamakawa shuppansha, pp. 477–93.

<sup>46</sup> Minorsky lists and explains a number of taxes and levies mentioned in a *soyūrghāl* document of Aq-qoyunlu dated 1498, but we can find only a few common items (see MINORSKY, V. 1939 "A Soyūrghāl of Qāsim b. Jahāngīr Aq-qoyunlu (903/1498)," *Bulletin of the School of Oriental and African Studies* 9, no. 4, pp. 927–60.). or levies."47

- *dābiţāna*: *dābiţ* means "governor, commander, superior, etc.," so *dābiţāna* could literally mean a levy for such a person. However, in 16th-century Central Asian documents, this term refered to the levy collected for the expenses of officials working at the tax-collecting office (*dīvān-i dābiţ*).<sup>48</sup>
- *dah-yak*: Literally "one-tenth," i.e. tithe tax. It is equivalent to *'ushr* in Arabic, a regular tax on the private farm land. But this can also mean an additional levy for the stipends of religious people working at madrassa.<sup>49</sup>
- · hākimāna: "a fee for the district governor (hākim)."
- *hashar*: According to Shaykh Sulayman Efendi, this means "laborers who were gathered every year to dig out the river[-bed]."<sup>50</sup> This term is synonymous with *bigar*, and frequently these are mentioned together in the documents of Western Turkestan. The only difference is that *hashar* could be organized by the government as well as by private persons, while *bigar* was mobilized only by the government.<sup>51</sup>
- *jārāna*: According to Steingass, *jār* means (1) news and (2) a neighbor. Raquette takes the second meaning ("neighbor-fee") and Giese the first ("messenger-fee"). Considering the existence of a word *jārchī*, I am inclined to agree with Giese.
- *javāzī ālūqī: javāzī* means "a large wooden or stone mortar for pounding grain; oil-press, sugar-mill."<sup>52</sup> Giese translates *javāzī ālūqī* as "oil-press tax."
- juvālgha: juvāl means "a sack, bag."53
- *körümāna: kör-* is a verb meaning "to see." So this term may mean "a fee for an interview [with government officials]."
- *küchetāna: küchet* is "plant[ing] of a tree."<sup>54</sup> So this term may mean "a fee for planting trees."
- *mahrāna*: "marriage-fee." Raquette read it *muhrāna* ("seal-fee") but Giese corrected it.
- *mardikār*: This is also very similar to *hashar*. It means the conscription of people for repairing irrigation canals, roads, bridges, and town walls.<sup>55</sup>
- · mecherek: In the Steingass dictionary we find the word majarag, meaning "press-

 <sup>47</sup> See Bartol'd 1973: 203; ABDURAIMOV, M. A. 1970 Ocherki agranykh otnoshenii v Bukharskom khanstve v XVI – pervoi polovine XIX veka, vol. 2. Tashkent: Fan, pp. 169–73.
 <sup>48</sup> Steingass 1892: 798; Abduraimov 1970: 185–86.

<sup>49</sup> Abduraimov 1970: 52–56, 181.

<sup>50</sup> ŠEJX Sulejman Efendi 1902 *Čagataj-Osmanisches Wörterbuch*, Bearbeitet von Ignaz Kúnos, Budapest: Franklin-társulat nyomdája, p. 77.

- <sup>51</sup> Abduraimov 1970: 192–93.On *bigar*, see Minorsky 1939: 950.
- <sup>52</sup> Steingass 1892: 376.
- <sup>53</sup> Steingass 1892: 376.
- <sup>54</sup> JARRING, Gunnar 1964 An Eastern Turki-English Dialect Dictionary, Lund: C.W.K Gleerup, p. 179.
- <sup>55</sup> Abduraimov 1970: 192.

ing (post-horses, carriages or ships); compelling one to work without hire."56

- *muḥtasibliq*: "a fee for *muḥtasib*." *Muḥtasib* is a "superintendent of police, who examines weights, measuers, and provisions, and prevents gambling, drinking, &c."<sup>57</sup> During the Qing period we find a beg official called "*Mao-te-se-bu*" with similar duties.<sup>58</sup>
- *mulklik*: According to I. P. Petrushevskii, during the Mongol period, *mulk*, *milk*, or *arbābī* meant "the full ownership by landowner (*mālik*) of land and water (channel or *kārīz*), unconditional and without obligation of service to the State, free to be sold and bequeathed.... Mulk land as a rule paid land tax to the dīvān but mostly paid a tenth (Arabic 'ushr, Persian dah-yak) and not the kharāj.... But there were also 'free' mulks (*mulk-i ḥurrī*) with fiscal immunity."<sup>59</sup>
- · mutavallīliq: "a fee for mutavallī."
- *narkhāna*: "the expense for the taxation" (Raquette); "the tax for the price-fixing" (Giese). *Narkh* is a Persian word meaning "price, tariff, tax, duty upon commodities, etc."<sup>60</sup>
- *ortaqchi tärimchi*: According to Raquette, *ortaqchi* was a person who cultivated someone else's land and took half of the income and who was responsible for all the auxiliary expenses and taxes. On the other hand, *tärimchi* was a person who cultivated the land of other people but did not pay for expenses and taxes which should have been covered by the owner of the land. But his share of the income was small, usually one-sixth or one-seventh. This difference is reflected in the etymology of the two words: *ortaqchi* has the connotation of "partner" while *tärimchi* means "cultivator."
- pādishāhī mamlaka: The estate called mamlaka is land owned by the khan or his family members. Pādishāhī mamlaka is land owned by the state whose revenue was mostly appropriated by the khan. We can also find expressions like sulţānning yeri ("the land of sulţān" in Lines 14 and 16 of Document 4) and mamlaka yer ("the state land" in Line 15 of Document 4).
- *qolqa qonalgha: qonalgha* means "quartering, lodging." This is a levy especially to meet the expenses for the lodging of soldiers. According to Raquette, the meaning of *qolqa* is "something borrowed," such as things like dishes and carpets needed for quartering. However, its etymology is still not clear. In Line 21 of Document 6 we find a similar expression, *sar qonalgha. Sar* is "head," so can we interpret *qolqa* as "hand" and suffix -*qa*?
- <sup>56</sup> Steingass 1892: 1177.
- <sup>57</sup> Steingass 1892: 1183–84.

<sup>&</sup>lt;sup>58</sup> SAGUCHI Tōru 佐口透 1963 18–19 seiki Higashi Torukisutan shakaishi kenkyū 『18–19世 紀東トルキスタン社会史研究』, Tokyo: Yoshikawa kōbunkan, p. 114.

<sup>&</sup>lt;sup>59</sup> BOYLE, J. A., ed. 1968 *Cambridge History of Iran*, vol. 5, *The Saljuq and Mongol Periods*, Cambridge: Cambridge University Press, pp. 517–18.

<sup>&</sup>lt;sup>60</sup> Steingass 1892: 1395.

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- sābūnchiliq: sābūn is "a soap." So sābūnchiliq can mean "a fee for those who make soap."
- *shiqaulluq*: "a fee for *shiqaul*." *Shiqaul* or *shaqaul* is a "master of ceremony who leads the emissaries to the audience."<sup>61</sup>
- *tamgha* & *tirawul*: The original meaning of *tamgha* is "seal, cattle-brand." In the Mongol period, however, it also meant a kind of tax, especially the tax on merchandise. Taxes on the products by artisans were also called *tamgha*.<sup>62</sup> Documents of the Bukhara khanate also make similar use of this term.<sup>63</sup> It is not clear what the meaning of *tirawul* is, although there is no doubt that it is the combination of a verb *tir-* or *tira-* and a suffix *-wul*, and that it was some sort of tax or levy.
- tarkhān & marfū ' al-qalam: tarkhan was originally a title given to high military commanders during the Türk Qaghanate of the 6th–8th centuries, but in the Mongol period this title was given to persons of exceptional merits. Those who carried this title were bestowed with special privileges, i.e., the exemption from punishment up to nine times. Later, the scope of the privileges expanded, including exemption from taxes, the right to carry one's own goblet and quiver, the right to take freely the spoils of battle, the right to take any game shot while hunting, and so on. Here, in this edict, tarkhān seems to be basically a synonym of marfū ' al-qalam, i.e., "the exemption from taxes." However, since there is a lacuna just before tarkhān, other interpretations are possible.<sup>64</sup>
- *ulaghchiliq*: *ulagh* is a "beast of burden, sumpter, animal, load,"<sup>65</sup> and *ulaghchiliq* seems to be a fee for somebody who drives such an animal.

# 1.6. Date and Place

All the decrees end with the dates and the localities. The dates are usually expressed in the Hijri calendar as well as in the Duodenary animal-cycle. In the edicts issued in the Mongol imperial age we see only the Duodenary animal names. So the use of the Hijri calendar certainly reflects the pervasive influence of Islam among the Moghul nomads in Eastern Turkestan. However, the problem is that these two

<sup>&</sup>lt;sup>61</sup> Budagov 1869: vol. 2, p. 668; Shaw 1878: 134.

<sup>&</sup>lt;sup>62</sup> See Honda Minobu 本田實信 1991 "Tamuga zei"「タムガ税」, in *Mongoru jidaishi kenkyū*『モンゴル時代史研究』, Tokyo: Tokyo daigaku shuppankai, pp. 322–32.

<sup>&</sup>lt;sup>63</sup> Abduraimov 1970, vol. 2, pp. 181–82.

<sup>&</sup>lt;sup>64</sup> On the title of *tarkhan*, see HAN Rulin 韓儒林 1941 "Menggu Dalahan kao zengbu" 「蒙 古答刺罕考增補」, in *Qiongluji*『窮廬集』, repr. Shanghai: Renmin chubanshe, 1982; KEITANI Shunzi 恵谷俊之 1963 "Tarahan kō" 「荅刺罕考」, *Tōyōshi kenkyū* 22, no. 2, pp. 61–78; Doerfer 1963–75: vol. 2, pp. 460–74.

<sup>&</sup>lt;sup>65</sup> Jarring 1964: 322.

systems, the Hijra and the Duodenary, do not correspond well. The following is a list of dates given in the documents:

- Document 1: Sha'bān, 1008 (Feb. 16–March 14, 1600) and the year of the Ox.
- [2] Document 2: The beginning of Rabī' al-avval, 1015 (July, 1606) and the year of the Dog.
- [3] Document 3: The end of Ramadan, 1018 (Dec. 1609) and the year of the Ox.
- [4] Document 4: Rabī<sup>•</sup> al-ākhir, 1038 (Nov. 28–Dec. 26, 1628) and the year of the Hen.
- [5] Document 5: The end of Safar, 1050 (June 1640) and the year of the Hen.
- [6] Document 6: Safar, 1073 (Aug. 16-Sept. 14, 1662) and the year of the Horse.
- [7] Document 7: The end of Jumāda al-avval, 1088 (August 1677) and the year of the Monkey.

As this list shows, not only do the Hijra and the Duodenary years not agree with each other, but also the differences between the two are not coherent at all. For example, in Document 1, the year of the Ox should have been 1601, a one year difference from the Hijri year given. However, in Document 2 there is a four year difference between the years, and in Document 3, it is two years. This is an extremely anomalous situation. Nonetheless, we should note that the Duodenary system in Kashgharia was very peculiar. For example, in Tārīkh-i amnivva and Tārīkh-i hamīdī written by Mullā Mūsà Sayrāmī, 1864 (actually the year of the Rat) is recorded as the year of the Snake (which should be 1869), 1866 (the year of the Tiger) is the year of the Sheep (which should be 1871), and so on. So the Kashgharian Duodenary calendar was consistently 5 years earlier than the normal cycle. To explain this difference, Savrāmī mentions a very interesting episode during the time of Sa'īd Khān (r. 1514–33).<sup>66</sup> However, even if we take this difference into account, it does not explain the extreme incoherence of the Duodenary system in these decrees. Hamada Masami has already discovered this anomalous situation and has discussed this thorny question in detail.<sup>67</sup>

<sup>&</sup>lt;sup>66</sup> Cf. Mullā Mūsa Sayrāmī 1905 Tārīkh-i amniyya. N. N. Pantusov ed., Taarikh-i emenie: Istoriia vladetelei Kashgarii, Kazan': Tipografiya Imperatorskago Universiteta, pp. 18–19; Molla Musa Sayrami 1988 Tarikhi Hämidi, Änwär Baytur tr., Beijing: Millätlär näshriyati, pp. 124–25.

<sup>&</sup>lt;sup>67</sup> HAMADA Masami 1992 "Rupture ou continuité: Le calendrier des douze animaux chez les musulmans Turcophones du Turkestan oriental, in *Mélanges offerts à Louis Bazin*, Paris: L'Harmattan, pp. 285–91.

# 1.7. Seal

The seal of a khan or prince is affixed on the decrees. On Documents 2, 6 and 7 the seal is affixed twice. The name of the khan or prince is inscribed in intaglio on the surface of the seal. In many cases, the outer parts of these seals were embellished with Arabic phrases praising God. The shape of the seals is without exeption round. However, it is well known that in the realms of the Jochi Ulus and Il Khans the rectangular seals engraved with Chinese, Pags-pa, or Arabic characters in *zhuan* % style were widely used even up to the end of the 16th century.<sup>68</sup> Several documents found in Turfan also show that in the middle of the 14th century both rectangular as well as round or oval seals were used in Eastern Turkestan.<sup>69</sup> The following are some details regarding these seals:

- [1] Document 1: ca. 49mm (diameter), black, on ll. 11–13.
- [2] Document 2: ca. 51mm, black, twice on Il. 3–4 and 21–22.
- [3] Document 3: ca. 51mm, black, on ll. 11–12.
- [4] Document 4: 41mm, black, ll. 9–10.
- [5] Document 5: ca. 55mm, black, ll. 8–9.
- [6] Document 6: 60mm, red, twice on ll. 9–11 and 16–18.
- [7] Document 7: ca. 61mm, black, twice on ll. 3–4 and 10–11.

# 1.8. Certification

On the reverse side of the several documents we find the name and the seal of the certifier, who probably transmitted the royal order to the scribes so that it could be drawn up as a document. We can read the following names:

- [1] Document 1: Mīrzā [?], seal not seen.
- [2] Document 3: Mīrzā Ghiyāth, seal not seen.
- [3] Document 4: Mīrākhur(?) Khwāja, one black seal of 19mm.
- [4] Document 5: Shāhbāz Beg, one black seal of ca. 22mm.
- [5] Document 6: Sultān Qulī, one black seal of 15–20mm.

Their names are written on the top-left of the reverse side and the seals are affixed on the top-right. Those documents not carrying certifier's name or seal are

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 <sup>&</sup>lt;sup>68</sup> KURAT, Akdes Nimet 1940 Topkapı Sarayı Müzesi Arşivindeki Altın Ordu, Kırım ve Türkistan Hanlarına ait Yarlık ve Bitikler, İstanbul: Bürhaneddin Matbaası; Usmanov 1979.
 <sup>69</sup> CERENSODNOM, Dalantai, and Manfred TAUBE 1993 Die Mongolica der Berliner Turfansammlung, Berlin: Akademie Verlag.

badly damaged ones. These certifiers were top-ranking officials in the court and three of them are possibly identified in the chronicle of Shāh Maḥmūd Churās. The practice of certification by high officials is well attested in other successor states of the Mongol Empire as well.<sup>70</sup>

From the preceding discussion, we can draw a few conclusions with regard to the royal decrees of the 17th century issued in Eastern Turkestan:

(1) They show a fairly fixed structure with the elements of (a) Invocation, (b) Addressor, (c) Addressees, (d) Beneficiaries and Privileges, (e) Admonitions and Warnings, (f) Date and Place, (g) Seal and (f) Certifier. Beyond the structural stability, we also note the usage of similar vocabularies and phrases. These facts unequivocally prove the existence of a highly developed and stabilized chancellery practice at the court of the Moghul khans and princes.

(2) By comparing these decrees with the edicts issued in the 13th and the 14th centuries, we discover that the structural characteristics of the 17th century decrees can be traced back to the imperial period. At the same time, we note similar features found in the decrees issued in other areas of the former Mongol empire. Because of the difference in time and space as well as the changes in political and economic conditions, new terminologies for official titles and various taxes and levies were introduced.

(3) In the decrees we notice several different layers of culture. The lowest layer is the Turkic, in whose language the decrees are written, but of course there may be some vestiges of pre-Turkic culture. The middle layer is the Mongol. When this region was a part of the Chaghatay Ulus, the Mongolian steppe customs and traditions exerted a strong influence over the political and economic systems. In the middle of the 14th century, the first Moghul khans like Tughluq Temur and Ilyās Khwāja still issued royal edicts in the Mongolian language. And almost at the end of the 14th century, Moghul nobilities in Semirechye sent a diplomatic letter written in Mongolian to the Hongwu Emperor of the Ming Dynasty.<sup>71</sup> Although the nomadic Moghuls were gradually assimilated into the Turkic population of this region and the Turkic language replaced the Mongol at the court of the Moghuls, the Mongol influence remained for a long time. The last layer is the Islamic and Persian cultures. The invocation of "By the power of the Eternal Heaven" was replaced by "In the Name of the Most Holy," and the dates were indicated not only by the traditional Duodenary cycle but also by the Hijri calendar. This was the result of the Islamization of the Moghuls. The decrees were packed with highly

<sup>&</sup>lt;sup>70</sup> Cf. CLEAVES 1951; BUSSE, Heribert 1959 Untersuchungen zum islamischen Kanzleiwesen, Kairo: Kommissionsverlag, pp. 69–76; Keçik 1976: 52–57; Schamiloglu 1984; Atwood 2006.

<sup>&</sup>lt;sup>71</sup> See KIM Hodong 1999 "The Early History of the Moghul Nomads: The Legacy of the Chaghatay Khanate," in AMITAI-PREISS, R., and D. MORGAN eds. *The Mongol Empire and Its Legacy*, Leiden: E. J. Brill, pp. 290–318.

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elaborate Arabic and Persian phrases. There is no doubt that those who drew up the decrees were fairly well versed in the Islamic and Persian literary traditions.

(5) The official titles and names of the taxes and levies provide us very important information for the understanding of the administration of and society in 17th-century Eastern Turkestan. Many of them are not fully comprehensible and need to be studied in comparison with similar institutions in Western Turkestan.

## 2. Transcriptions and Translations

# **Document 1**

(Prov. 223)

- (1) bi-ism-i subhānahu
- (2) []<sup>72</sup> Muḥammad Khān Ghāzī sözüm<sup>73</sup>
- (3) 'imād-i mulk vä dawlat 'ināyat-i salţanat vä ayālat qarīndāshlar bilä
- (4) shajāʿat-shiʿar sakhāvat-dithār javān-bakht barkhūr-dār farzandlargha<sup>74</sup>
- (5) umarā'-i kibār-i kāmgār vä vuzarā'-i nīkū-rāī şāḥib-i ikhtīyār vä kāfī-kaf-i kifāyat-āthār vä arkān-i dawlat-i qāhira vä a'yān-i ḥadrat-i bāhira basa Yārkand vilāyatining zumra-i sharīfa
- (6) nuqabā'-i kirām vä nujabā'-i sayyid al-anām vä şudūr-i munsharih al-şadr-i 'ālī-maqām vä qudāt-i sharī'at-anjām vä mavālī-yi lāzim al-ihtirām vä ahālī-yi khujasta-kalām vä jumhūr-i sakana vä 'āmma-i
- (7) ra'āyā'-i mutavatținalari bilä ming-begi yüz-begi on-begi ishchi gushchi mutavallī tavachi tüshimel 'amaldār şāhib-i jam' bitikchilärighä 'alà al-khuşūş 'afīfa-i şāliha-i sājida //<sup>75</sup>-
- (8) ning mīrāb vä kökbashi arbāb kalāntar sipāhī vä aqsaqal vä himāyatī-yi uluq kichik khwāja sharīk ra'īyatlarigha vādih vä lāīh bolsun kim //<sup>76</sup>-ning mazār-i fāid al-anvār-ning shaykh-

<sup>72</sup> Not clearly legible.

<sup>73</sup> These two lines are written in a different color and faded that the letters are hard to recognize.

<sup>74</sup> In most of our edicts the two words on lines 3–4, *qarīndāsh* and *farzand*, are written in a different color.

<sup>75</sup> In the text we see only the caret mark '//', but there is no additional note in the margin. This is probably because the right margin of the document was torn away and new paper was pasted to the document. It seems that the name of a mausoleum is omitted. In the Houghton copy there is no '//'.

<sup>76</sup> In the text we see only '//', also without any corresponding note on the margin.

- (9) lärini 'iyāl vä ushaqlari bilän maghfūrī vä marhūmī<sup>77</sup> ۲۲ tarkhān vä marfū' alqalam qilghan ikändüklär<sup>78</sup> V häm ushbu humāyūn madmūngha muttali' bolup här ne<sup>79</sup> shaykhlärni
- (10) vä oghlanlari bilä 'ināyat-i<sup>80</sup> \ vä marhamat-i khusrawāna bilä muftakhir vä sar-āfrāz qilip tarkhān vä marfū' al-qalam qilduq vä taqi<sup>81</sup> qaddasa Allāh asrārhāning mujāvir aylighä yuqari alti tigärmän-
- (11) din su kelgändin<sup>82</sup> su yatqunchä nawbat bilä här aydä besh kün bāghlargha yārlīgh qilduq vä taqi marhūmī vä maghfūrī<sup>83</sup> Khwāja 'Ubayd Allāhning arīqīdin qadīmdin<sup>84</sup> chaqa-
- (12) lari bar sababdin bir arīq su berdük emdi yārlīgh-i khūrshīd-shaʿāʿ-i ʿalammuṭī<sup>x85</sup> körgäch madhkūr bolghan sularni bu mushar-alayhumlargha ḥaqq bilip mīrāb kökbashi
- (13) vä ghayr ham etmäsunlar<sup>86</sup> vä qismasunlar vä tamgha vä tirawul qilmasunlar vä taqi mushār-alayhumlarni<sup>87</sup> oghlanlar vä farzandlarni kull-i takālifat-i dīvānīdin muʻāf vä marfūʻ al-qalam bilip nemä
- (14) keräk almasunlar vä havala qilip aldurtmasunlar tanj-köngül vä faraghkhaţirlari bilä<sup>88</sup> madhkūr suni ziraʿatlarigha şarf qilip duʿaʾ-i dawlat-i rūzafzūngha mashghūl bolup şubh
- (15) vä shām belkä 'alà al-davām fātiha oqip<sup>89</sup> oltursunlar dep muhrluq nishān-i 'ālīshān<sup>90</sup> tārīkh-i ming säkiz oy yili Sha'bān ay Yārkand vilāyatidä bitildi

(muhr) Muhammad Khān ibn 'Abd al-Rashīd ibn Sa'īd Ghāzī<sup>91</sup>

 $^{78}\,$  In the text we see only 'V'. The words like 'biz' or 'pādishāhāna' chould be inserted.

- <sup>80</sup> In the text we see only '19'. Based on comparison with other edicts, the word 'pādishāhāna' can be inserted here.
- <sup>81</sup> In the Houghton copy, after 'taqi' we see the sign of '//'.
- <sup>82</sup> kelgänd (Houghton copy).
- <sup>83</sup> maghfūrī vä marhūmī (Houghton copy).
- <sup>84</sup> In the Houghton copy there is no *qadīmdin*.
- <sup>85</sup> *jahān-mațā* ' (Houghton copy).
- <sup>86</sup> hämā etmäsunlar (Houghton copy).
- <sup>87</sup> mushār-alayhumlarning (Houghton copy).
- <sup>88</sup> There is no *bilä* in the Houghton copy.
- <sup>89</sup> oqup (Houghton copy).
- <sup>90</sup> There is no ' $al\bar{l}sh\bar{a}n$  in the Houghton copy.

<sup>91</sup> The seal is affixed on the lines 11–13. In the Houghton copy we find "Muhammad Khān ibn 'Abd Allāh ibn Sa'īd Ghāzī," but this seems to be the mistake of the copyist.

<sup>&</sup>lt;sup>77</sup> In the text we see only '*YY*'. It seems that the name of a person is ommitted.

<sup>&</sup>lt;sup>79</sup> These two letters (*här ne*) are not clearly read, and we cannot find them in the Houghton copy.

(reverse) şādiq al-ikhlāş mu'tamad al-khavāşş Mīrzā [?]92

In the Name of the Most Holy

Muḥammad Khān Ghāzī, My Word

To the brothers who are the pillars of the kingdom and the state, and the guardians of the sultanate and the dominion; and to the sons who are valiant, generous, young and fortunate. To the great commanders ( $umar\bar{a}$ ) who accomplish whatever they wish, the ministers (vuzarā') of good judgement who are the lords of right decision and mighty capability, the pillars of the victorious state, and the chiefs of excellent dignity; and, in the province of Yarkand, to the group of nobility, the eminent leaders, the grandees of noble blood, the blissful high officials, the judges discharging the holy law, the lords fit to veneration, the people of the blessed words, all the inhabitants and the commoners, along with chiefs of a thousand (ming-begi), chiefs of a hundred (yüz-begi), chiefs of a ten (on-begi), workers (ishchi), listeners (gushchi), superintendants (mutavallī), inspectors of the army (tavachi), officials (tüshmel), tax-collecters ('amaldar), controllers (sāhib-i jam'), and scribes (*bitikchilär*); and, especially, at the pious and holy sanctuary of [?], to supervisors of water ( $m\bar{i}r\bar{a}b$ ), supervisors of agriculture ( $k\ddot{o}kbashi$ ), chiefs ( $arb\bar{a}b$ ), leaders (kalāntar), soldiers (sipāhī), elders (agsagal), patrons of great and little khwājas (ulugh kichik khwāja), merchants (sharīk), and peasants. [The following] should be clear and manifest.

[In the past] we bestowed the [privilege of] *tarkhān* and the exemption of levies (*marfū* ' *al-qalam*) on the shaykhs of the holy mausoleum of [?], together with their family and children,<sup>93</sup> and to the deceased [?]. Having considered those royal contents, we honored the shaykhs and their sons with royal protection and favor and bestowed on them [the privilege of] *tarkhān* and the exemption from levies. And, we issued edicts (*yārlīgh*) [allowing] the water to flow from the upper six mills (*yuqari alti tigärmän*),<sup>94</sup> until it subsides, five days in turn each month, to the village (*ayl*)<sup>95</sup> and to its gardens located in the vicinity of the holy place.

<sup>&</sup>lt;sup>92</sup> On the top-right of the reverse side we find additional notes, written on a patch of paper attached later; they do not look like a part of the original.

<sup>&</sup>lt;sup>93</sup> '*iyāl vä ushaqlar: 'iyāl* means "family, children, domestics; a wife" (Steingass 1892:
875), and *ushaq* "little, young; boy, youth; male servant."

<sup>&</sup>lt;sup>94</sup> tigärmän: "mill, mill-dam." Cf. Šejx Sulejman Efendi 1902: 188 (tikirmen); Zenker 1866: 333 (tikermen).

<sup>&</sup>lt;sup>95</sup> ayl, ail or aul means "village" (Zenker 1866: 151).

Moreover, since there have been wards  $(chaqalar)^{96}$  along the canal of the late Khwāja 'Ubayd Allāh<sup>97</sup> from long ago, we gave them the water of one canal.

Now, as soon as you see this edict of splendor and authority, you should know that the aforementioned water rightly belongs to the above-mentioned persons. You – supervisors of water and agriculture, and anyone else – should not either block or divide [that water] and should not impose [such taxes as] *tamgha* and *tirawul*. Moreover, you should know that the aforementioned persons, their sons and children, are exempted from all official levies ( $tak\bar{a}lifat$ - $i d\bar{v}v\bar{a}n\bar{i}$ ) and you should not take, or make someone else to take, anything necessary from them. [In the meantime,] you [the descendants of the aforementioned shaykhs], should use the aforementioned water, with tranquil heart and peaceful mind, for cultivation, and devote yourselves to praying for the ever-strengthening state. You should live reading [the chaper of] Fātiḥa morning and evening, or rather ceaselessly.

The decree (*nishān*) affixed with a seal was written in the month of Sha'bān, 1008, the year of the Ox,<sup>98</sup> in the province of Yārkand.

(seal) Muhammad Khān ibn 'Abd al-Rashīd ibn Sa'īd Ghāzī

(reverse) Mīrzā [?] who is the trust of qualities and the faithful of sincereity [...].

<sup>&</sup>lt;sup>96</sup> *chaqa*: G. Raquette explains this word as "ward, district (in the country)." See Raquette 1912–13: 25.

<sup>&</sup>lt;sup>97</sup> It is highly probable that this is the famous şūfī active during the reign of 'Abd al-Karīm Khān. He was a disciple of Muḥammad Walī Ṣūfī who was a close companion of Khwāja Muḥammad Sharīf. According to the chronicle of Shāh Maḥmūd Churās, he made an important contribution to the enthronement of 'Abd al-Karīm Khān, who was also Muḥammad Walī Ṣūfī's disciple. He became minister (*vazīr*) and wielded great authority in the court of 'Abd al-Karīm Khān and was stubbonly opposed to the missionary activities of Ishāq Valī, the son of Makhdūm-i A'zam, the famous master of the Naqshbandi order. Khwāja 'Ubayd Allāh died prior to 'Abd al-Karīm Khān (d. 1000/1591–92). Cf. Akimushkin 1976: 159–70. It is possible that the name of the person who was buried at the mausoleum but omitted on line 8 could be Khwāja Muḥammad Sharīf. His tomb is located in the city of Yarkand. For a detailed description of this mausoleum, see SAWADA Minoru 澤田稔 1999 "Tarimu bonchi shūenbu Isurāmu shiseki chōsa hōkoku" 「タリム盆地周緑部イスラム史跡調査報告」, *Tezukayama gakuin daigaku ningen bunka gakubu kenkyū nenpō* 1, pp. 65–66.

<sup>&</sup>lt;sup>98</sup> This date corresponds to February 16–March 14, 1600 A.D. However, according to the lunar calendar, this year is the year of the Rat, not Ox.

# Document 2

(Prov. 226)

This document is badly damaged and almost illegible in many parts. Thus the transcription and the translation are not given here.

# Document 3

(Prov. 221)

- (1) [bi-ism-i subhānahu]<sup>99</sup>
- (2) Abū al-Manşūr Shāh Shujā' ad-Dīn Ahmad Bahādur Ghāzī sözüm
- (3) nayyir-rif`at khūrshīd-tanvīr kayvān-murattīb tashīl-tā'thīr qarindashlar bilä
- (4) shajāʿat-shiʿar sakhāvat-dithār javān-bakht barkhūr-dār farzandlargha
- (5) vä umarā'-i kibār-i kāmgār vä vuzarā'-i nīkū-rāy şāhib-i ikhtīyār vä arkān-i dawlat-i bī-zavāl vä a'yān-i hadrat-i bī-intiqāl vä sakina-i 'ataba-i bārgāh vä sudda-i sadana-i jahān-panāh basa Khotan vilāyatining
- (6) zumra-i sharīfa nuqabā'-i kirām vä nujabā'-i sayyid al-anām vä şudūr-i munsharih aş-şadr-i 'ālī-maqām vä qudāt-i sharī'at-anjām vä mavālī-yi vājib al-ihtirām vä ahālī-yi khujasta-kalām vä hukām-i bilād vä hifza-i 'ibād
- (7) ichkilär ba-huşūşihum vä tashqilär ba-'umūmihum vä sā'īr-i sakina vä 'āmma-i ra'āyā'-i mutavaţţinalari bilä ming-begi yüz-begi on-begi ishchi gushchi mutavallī tavachi tüshimel 'amaldār şāhib-i jam' bitikchiläri bilä
- (8) mīrāb vä kökbashi arbāb vä kalāntar sipāhī vä himāyatī-yi uluq kichik khwāja sharīk ra'īyatlärighä vādih vä lāīh bolsun kim mu'tamad al-khavāşş şādiq al-'aqīdat vä al-ikhlāş jalīs-i majlis khāşş-i
- (9) qadīm al-khidmat Abū [?]<sup>100</sup> Begni 'ināyat-i // (pādishāhāna) vä marḥamat-i khusravāna bilä muftakhir vä sar-āfrāz qilip [?]<sup>101</sup> Tepesining ḥākimlighni Mīrzā Muḥammad Sharīfning dastūri bilä
- (10) 'alà al-huşūş otun saman jubä-dä teyarchiliq manşabni sīyūrghāl qilduq yārlīgh-i khūrshīd-sha'ā'-i lāzim al-itbā' körgäch mushār-alayhini özingizlärghä hākim bilip ertä gechä jārī
- (11) yetkäch juzī' vä kullī ishlärdä qashlarigha yighlip kelip jār vä būljārlari kim

<sup>99</sup> This phrase is not found in the document, but in all probability the part on which it was written has torn away.

<sup>100</sup> Because of a blot in the text this part is almost illegible. In the Houghton copy it is left blank.

<sup>101</sup> Because of a blot in the text this part is hard to recognize. In the Houghton copy it is left blank.

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muțadammin-i şalāh-i dawlatdur tajāvuz qilmaghaylar yolluq turalik sözini ishtip özkä qilmanglar

- (12) burunqi hākimlärghäni dastūr bilä nemä berip khidmat qilghan bolsanglar mūmà-alayhighä ushal dastūr bilä nemä berip qilsang i'zāz-ikrāmini kamāhuwa al-lāīq ba-hāla ba-jāī keltürünglär
- (13) vä taqi bu kim burunqi hākimlärghä ta'alluq kishi vä qira yer vä sulari ankä ta'alluq bilip hich kim mudākhilat vä munāzi'at qilmasunlar här kim äytqändäk 'amal qilmasa siyāsatqä sazāvār
- (14) vä 'uqūbatgha giriftār bulghusidur dep muhrluq nishān-i 'ālīshān ta'rīkh-i ming on sekiz oy yili Ramadān al-mubārak ayning avākhiri dār al-salţanat-i Yārkanddä bitildi

(muhr) Shāh Shujā' al-Dīn Ahmad Khān ibn Muhammad Khān Ghāzī<sup>102</sup>

(reverse) mu'tamad al-khavāşş şādiq al-'aqīda vä al-ikhlāş khilş-i majliş-i qāşş Mīrzā Ghiyāth parvānasi bilän.

[In the Name of the Most Holy]

Abū al-Manşūr Shāh Shujā' ad-Dīn Ahmad Bahādur Ghāzī, My Word

To the brothers who are exalted like stars, shining like the sun, decisive like Saturn, and of penetrating influence; and to the sons who are valiant, generous, young and fortunate. And to the great commanders of power, the ministers of good judgement, the lords of right decision, the pillars of the prospering state, and the chiefs of excellent firmness, the inhabitants of the threshold of the royal tent and the world-protecting court of felicity; and, in the province of Khotan, to the group of nobility, the eminent leaders, the grandees of noble blood, the blissful high officials, the judges discharging the holy law, the lords befitting veneration, the people of the blessed words, the govenors of the countries, the guardians of devotees-especially the insiders and generally the outsiders-and the rest of the residents and commoners; and to the officials and functionaries such as the chiefs of a thousand, chiefs of a hundred, chiefs of ten, workers, listeners, superintendants, inspectors of the army, officials, tax-collecters, controllers, and scribes; and to the supervisors of water, supervisors of agriculture, chiefs, leaders, soldiers, patrons of great and little khwājas, merchants, and peasants. [The following] should be clear and manifest.

 $^{102}$  The seal is affixed on the lines 11–12.

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Having raised and distinguished Abū [?] Beg, who is the trustee of sincere and faithful nobles and the companion of the congregation of hereditary nobles in the service, with the royal favor and grace, we bestowed  $(s\bar{s}y\bar{u}rgh\bar{a}l)^{103}$  upon him, according to the precedent (*dastūr*) of Mīrzā Muḥammad Sharīf, the governorship (*hākimligh*) of [?] Tepe and, especially, the title (*manṣab*) of *teyarchi* [who makes ready the supply] of firewood, straw and wood.<sup>104</sup>

As soon as you see the edict of splendor and authority, you should know that the aforementioned person is your own governor. From morning till night, whatever matter, specific or general, takes place, you should come to gather around him and should not disobey the protector of the welfare of the state. Having listened to those who are traveling or staying, you should not act otherwise. If you have given anything to the former governors and have served them according to precedent, you should give that [same thing] to the aforementioned person following that precedent and should show appropriate honor to him. And, moreover, you should consider the people, the cultivated land<sup>105</sup> and the water which belonged to the former governors as belonging to him, and nobody should interfere or oppose. Anyone who does not perform the work stated above shall be subjected to punishment.

The exalted decree with seal was written at the end of the blessed month of Ramadan, 1018, the year of the Ox,<sup>106</sup> in the state of Yarkand.

(seal) Shāh Shujā' al-Dīn Ahmad Khān ibn Muhammad Khān Ghāzī

(reverse) Following His order (parvānasi bilän), Mīrzā Ghiyāth who is the trust of

<sup>&</sup>lt;sup>103</sup> The system of *soyurghal*, named from a Mongolian word meaning "bestowal," was widespread in Central and Western Asia after the Mongol domination. The recipient of estates as *soyurghal* enjoyed a perpetual and hereditary right as well as tax exemption and administrative immunities. Later, however, this system gradually changed and lost its original features, and frequently this term was used merely to express a favor or grace bestowed by the ruler, as is the case in this decree. Cf. Minorsky 1939; Kim 2004: 105–6.

<sup>&</sup>lt;sup>104</sup> *otun saman chubä-dä teyarchiliq manşab*. The reading is not certain. *Otun* means "firewood," *saman* "straw," and *chubä* (< *chub*) "wood." The title (*manşab*) of *teyarchi* can be understood as "the one who makes something ready (*teyar*)."

<sup>&</sup>lt;sup>105</sup> *qira yer*. This can be read as *qara yer*, and, according to Jarring, it means "cemetery" (Jarring 1964: 239). But this does not make sense in this context. So it should be read as *qira yer* which means "cultivated land" (NADZHIP, E. N. ed. 1968 *Uigursko-russkii slovar*', Moskva: Sovetskaya entsiklopediya, pp. 623, 784).

<sup>&</sup>lt;sup>106</sup> This date corresponds to December 1609, the year of the Hen.

qualities, the faithful of religion and sincereity, and the leader of courtiers.<sup>107</sup>

# **Document 4**

(Prov. 225)

- (1) [bi-ism-i subhānahu]
- (2) Hadrat-i Khān dadam yārlīghidin
- (3) Abū al-Muțahhar Sulțān Mahmūd sözüm
- (4) 'uliyā-ḥaḍrat-i Iskandar-shawkat Jamshīd-ḥashamat qarindashlar bilä
- (5) shajā'at-shi'ar sakhāvat-dithār javān-bakht barkhūr-dār farzandlargha
- (6) vä umarā'-i kāmgār-kibār vä vuzarā'-i nīkū-kār-i 'ālī-miqdār basa Khotan vilāyatining mashāhīr-i nuqabā-i kirām vä nujabā'-i sayyid al-anām vä şudūr-i 'ālī-maqām vä qudāt-i sharī'at-anjām
- (7) vä 'ulamā-i khujasta-kalām vä fudalā-i taqvà-farjām vä hukām-i dhūī al-ihtishām vä rū'asā'-i nīkū-nām-i kāffa-i anām vä jumhūr-i khavāşş vä 'avāmmī bilä ishchi kushchi mutavallī tavachi tüshmel'amaldār şāhib-i jam'
- (8) bitikchiläri bilä 'alà al-khuşūş Altunchi Ariqining mīrāb vä kökbashi arbāb vä kalāntar sipāhī uluq kichik khwāja sharīk ra'īyatlärighä vādih vä lāīh
- (9) bolsun kim // ř (hadrat-i khān babam) bilä // ř (hadrat-i dadam) nawwara marqad-huma wa 'attara mashhad-huma Nūr 'Alī Yasāwuldin Beg Qulī Yasāwul alghan madhkūr Altunchi Ariqidaghi takhmīnān yigirmä patmanliq yerlär
- (10) barur marhūm Amīrzā Ayyūb Beg Churāsgha mulklikghä berip ikändürlär bu uchurda // <sup>v</sup> (biz) taqi zill-i humā-i sipihr-sāī-yi dawlat vä shafaqat vä sāya-yi 'ālī-pīrāya-yi rāfat vä 'āțifatimizni
- (11) oghli Mīrzā Muḥammad Ya'qūbning ḥālighä shāmil qilip qulidaghi irgelik humāyūn yārlīghlarning madmūnlarighä muttali' bolup madhkūr yerlärni mulklikkä berdük shamālān

<sup>107</sup> Mīrzā Ghiyāth seems to be the same person mentioned in the chronicle of Shāh Maḥmūd Churās as "Mīrzā Ghiyāth Sāghrīchī." According to Churās, Muḥammad Khan appointed him to the highest military post with the titles of *qushbegi, uchbegi* and *amīr al-umarā*, and Shujā' al-Dīn Aḥmad Khān also let him keep the same post until he died. *Parvāna*, or *parvānachä*, is a Persian word meaning "order, licence, permit," and there was an official called *parvānachi* who handled royal orders. Steingass (1892: 245) translates this term "a government official; a secretary for writing royal orders." For various formats of certification, and different scholarly opinions, see Minorsky 1939: 943; Busse 1959: 69–76; Keçik 1976: 52–57.

- (12) Tursūn bilä Murādning yerlärikā muttaşil fāşil öräk ba'dī Bülek Bābāning üjmälikikā muttaşil sharqān Khwāja Valīning yeri bilä Būrbāshning vä Turdī Muḥammadning vä Qarā
- (13) Hawlīning yerlärikā muttaşil fāşil qir ba'dī Quyūqning yerigā muttaşil fāşil rāh-i 'āmma ba'dī Murgablī bilā Khwāja 'Abd al-Qādirning vä Khusraw Lāfchīning yerlärikā muttaşil fāşil qir
- (14) vä ba'di Ghāzīkäning yerigä muttaşil fāşil öräk janūbān Habīb Lāfchīning yeri bilä Qutlūq 'Alīning vä Achā Khātūnning vä Qādī Ridāning vä Bāqī Sārīghning vä Tu[?]-
- (15) ning vä Muhammad Bāqīning yerlärikä muttaşil fāşil öräk gharibān Ismā'īlning yeri bilä Mullā Zulālning yerigä vä mazārgha muttaşil vä ba'dī Tursūnning vä Muhammad Dūstning
- (16) yerläri bilä Tūlek Bābāning sögütlikkä muttaşil fāşil qir ba'dī Altūnchī Arīqīgha muttaşil vä ba'dī Qudūqning arīqīgha muttaşil emdi yārlīgh-i jahānmuţā'-i
- (17) khūrshīd-shaʿāʿ körgäch madhkūr maḥdūd ichidäki yerlärni mushar-alayhining vä oghlanlarining oghlanlarigha baṭnān baʿd-i baṭin qarnān
- (18) ba'd-i qarin mulkī bilip zirā'at vä 'imārat qilip ifāda-yi rizq-i avlād vä istifāda-i nafaqa-i ahfād qilsa hich kim mudākhilat vä mushārakat munāzi'at
- (19) vä mukhāşamat qilmasunlar tarir yerläri bilä mu'tadd aqitur sularini mīrāblar vä kökbashilar vä ghayr häm<ā> etmäsünlär vä qismasunlar vä taqi Markūyā Östängidä-
- (20) ki bāzār tigarmaniga va Barmasiyā Östangidaki kimachilardin alghan tigarmaniga va Darāzkāldaki Mullā Ṭālib bashligh mīrāth-khwārlardin alghan
- (21) tigärmänigä mīrāblar vä kökbashilar vä ra'īyatlar vä ghayr häm dakhil vä ta'arrud qilmasunlar vä sularini etmäsünlär vä qismasunlar belkä āfarīda-i hīch
- (22) mamar vä hīch rah-gudhārdin darar vä zahmat yetkürmäsünlär vä taqi Zavā Östängidä yā <bi-> Tāzghūndin chiqqan här ne bulaq
- (23) bilä här tarafdin qushuladurghan bulaqlarining sularini vä Yalghūz Ōghūlgha chapip alip barghan arīqni
- (24) mushār-alayhighä mulklikkä berdük hich kim dakhil vä ta'arrud qilmasunlar här yergä vä här tarafka alip barsalar āfarīda-i muzāhim vä muta'arrid bolup
- (25) māni' kelmäsünlär tanj-köngül vä farāgh-khāțirlari bilä zirā'at qilip här ne maḥṣūlini öz madad vä ma'āshlarigha ṣarf qilip du'ā-i
- (26) dawlat-i rūz-afzūngha mashghūl bolsunlar dep muhrluq nishān-i 'ālīshān tārīkh-i ming otuz sekiz takhāqū yili Rabī' al-ākhir ay Kāshghar dār al-salţanasida bitildi

(muhr) Sulțān Mahmūd ibn Diyā al-Dīn Ahmad<sup>108</sup>

<sup>&</sup>lt;sup>108</sup> The seal is affixed on the lines 9–10.

(reverse) Siyādat-panāh sa'ādat dastgāh şāḥib al-ḥaur vä al-karm Mīrākhur (?) Khwāja parvānasi bilän. (muhr)

[In the Name of the Most Holy]

By the Edict of My Father, His Majesty Khān,<sup>109</sup> Abū al-Muṭahhar Sulṭān Maḥmūd, My Word

To the brothers who are the most eminent lords, courageous like Alexander and magnificent like Jamshīd; and to the sons who are valiant, generous, young and fortunate. And to the great commanders of power and the high ministers of good works; and, in the province of Khotan, the celebrated great leaders, the grandees of noble blood, the blissful high officials, the judges discharging the holy law, the religious leaders of the blessed words, the pious scholars, the magnificent governors, the magistrates of good reputation among the people, all the nobles and the commoners; and to workers, listeners, superintendants, inspectors of the army, officials, tax-collectors, controllers, and scribes; and, especially, at Altunchi Ariq,<sup>110</sup> to supervisors of water, supervisors of agriculture, chiefs, leaders, soldiers, great and little khwājas, merchants, and peasants. [The following] should be clear and manifest.

His Majesty Khan, my grandfather, and His Majesty, my father – let the light shine upon their graves and the fragrance spread over their tombs – had given the land of approximately 20 *patmans*<sup>111</sup> at the aforementioned Altunchi Arīq, which Beg Qulī Yasāwul had taken from Nūr 'Alī Yasāwul, to the late Amīrzā Ayyūb Beg Churās<sup>112</sup> as his private property (*mulklik*). At this moment, once more, we equally

<sup>109</sup> For the same expression of *yārlīghidin* in a Timurid decree, see Woods 1984.

<sup>110</sup> Location not identified.

<sup>111</sup> patman (or batman) was the measure of weight as well as width in Central Asia. During the Qing period 1 patman was equal to weight of 4 shi ( 石 ) 5 dou ( 斗 ) of grain seeds (after 1761, 5 shi 3 dou). Thus, the land of 1 patman was equal to the amount of land upon which that many seeds could be planted. Based on a study by Ji Dachun, 1 patman was about 64 mu ( 献 ). (Ji Dachun 2002: 295–310). However, it is not certain whether the measure of the patman during the 16th century was equivalent to that in the 18–19th centuries. According to the Qing regulations, second-rank beg officials received 150 patmans of land and seventh-rank begs received 30 patmans.

<sup>112</sup> In the chronicle of Shāh Maḥmūd Churās there is a mention of a certain Ayyūb Beg who came from Khotan with soldiers and joined 'Abd Allāh Khān's (r. 1048/1638–39  $\sim$ 1078/1668) expedition to Andijan (Akimshkin 1976: 219). He may be the Ayyūb Beg mentioned in this decree.

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extend the magnificent royal shadow of fortune and compassion and the exalted shadow decorated with magnanimity and benevolence to his son Mīrzā Muhammad Ya'qūb, and having considered the contents of royal edicts in our hands, we gave him the aforementioned lands as his private property. To the north, it adjoins to the lands of Tursūn and Murād and its border is a trench.<sup>113</sup> Partly it adjoins the mulberry trees<sup>114</sup> of Bülek Bābā. To the east, it adjoins the lands of Khwāja Valī, of Būrbāsh, of Turdī Muhammad, and of Qarā Hawlī, and its border is a levee.<sup>115</sup> Partly it adjoins the land of Quyūq, and its border is public road. Partly it adjoins the lands of Murgablī, of Khwāja 'Abd al-Qādir and of Khusraw Lāfchī, and its border is a levee. Partly it adjoins the land of Ghāzīka, and its border is a trench. To the south, it adjoins the lands of Habīb Lāfchī, of Qutlūg 'Alī, of Achā Khātūn, of Qādī Ridā, of Bāqī Sārīgh, of Tu[?], and of Muhammad Bāqī, and its border is a trench. To the west, it adjoins the land of Ismā'īl and borders with the land of Mullā Zulāl and a mausoleum. Partly, it adjoins the lands of Tursūn and to the land of Muhammad Dūst, and to the willow field<sup>116</sup> of Tūlek Bābā, and its border is a levee. Partly it adjoins to Altūnchī Arīq and partly to a canal of Oudūq.

Now, as soon as you see the edict of splendor and authority, you should know that the lands within the aforementiond boundaries are the private property of the aforementioned person and of his offspring through generation after generation. If he cultivates the land and constructs buildings, and thus makes profits and earnings for his descendants, no one should interfere, share, oppose, or altercate with him. As for the flowing water enumerated with the cultivated lands, you – supervisors of water and supervisors of agriculture, or any other – should not block (*etmäsünlär*) or divide them. And, moreover, you – supervisors of water and supervisors of agriculture, or any other commoners – should not interfere with, or infringe upon, the mills in the market at Markūyā Östäng,<sup>117</sup> the mill at Barmasiyā Östäng that

<sup>&</sup>lt;sup>113</sup> öräk: "trench" (Nadzhip 1968: 94).

<sup>&</sup>lt;sup>114</sup> *üjmä*: "mulberry-tree" (Shaw 1878: 217; Jarring 1964: 329).

<sup>&</sup>lt;sup>115</sup> "Steppe" in Raquette, and "Wüste" in Giese. However, according to Jarring, the exact meaning of this word  $(q\ddot{r} \sim q\ddot{i} \sim q\ddot{i})$  is "edge of a field," "edge of earth or clods of earth which surround each field (cultivated and irrigated square) and which hinder the water to run away when the field is irrigated," or "bank of a river" (Jarring 1964: 248). So I will translate this word as "levee."

<sup>&</sup>lt;sup>116</sup> sögüt: söget, sögät, or sögüt means "willow" (Jarring 1964: 278). Cf. Uyghurchä-Khänzuchä lughät: 440; SCHWARZ, H. G. 1992 An Uyghur-English Dictionary, Bellingham, Washington: Western Washington University, p. 486.

<sup>&</sup>lt;sup>117</sup> östäng means "big canal"(幹渠), while *ariq* means "little canal"(支渠). On the difference of these two waterways, see HORI Sunao 堀直 1980 "Shindai Kaikyō no suiri kangai" 「清代回疆の水利灌漑——19~20世紀のヤールカンドを中心として」, Ōtemae joshi daigaku ronshū 14, pp. 74–75; Jarring 1964: 219.

he took from the boatmen,<sup>118</sup> and the mill at Darāzkāl that he took from the heirs, beginning with Mullā Ṭālib. And you should not block or divide the water [flowing to those places]. Indeed, you should not harm or damage any passenger or passerby. Moreover, we gave to the aforementioned person as private property all the springs found at Zavā<sup>119</sup> Östäng or flowing from Tāzghūn,<sup>120</sup> as well as the water of the springs gathered from every direction and the canal which is channelled onto Yalghūz Ōghūl. Nobody should interfere or contend [in this matter]. If anyone who takes [the water] to any land or any direction, he shall be [regarded as] the injurer and the hinderer. [So] he should not obstruct. With tranquil heart and peaceful mind, you, [the aforementioned person,] should cultivate and use whatever harvest you obtain for your own benefit and living. And you should devote yourself to praying for the ever-strengthening state.

The decree affixed with seal was written in the month of Rabī<sup> $\cdot$ </sup> al-ākhir, 1038, the year of the Sheep,<sup>121</sup> in the state of Kāshghar.

(seal) Sulțān Mahmūd ibn Diyā al-Dīn Ahmad

(reverse) Following His order, Mīrākhur (?) Khwāja who is the lord of felicity, generosity and nobility.

## **Document 5**

(Prov. 224)

- (1) bi-ism-i subhānahu
- (2) Abū al-Fath 'Abd Allāh Bahādur Khān Ghāzī sözüm
- (3) kavākab-i sipāh-anjum ḥasham-i falak-dastgāh 'uṭārid-raqam qarindashlar bilä
- (4) kayvān-qadr khūrshīd-i'tibār javān-bakht barkhūr-dār-i 'ālī-miqdār farzandlargha
- (5) vä umarä'-i nuşrat-qarīn zafar-qirān rafī'-i manzilat-i 'ālīshān-i Bahrām-rutbat Rustam-nishān basa Kāshghar vilāyatining hākim vä darughalari bilän mingbegi yüz-begi ishchi gushchi mutavallī tavachi hurchin-begi

<sup>118</sup> kimächi: kimä means "ship" (VAMBÉRY, H. 1867 Čagataische Sprach-Studien, Leipzig: F. A. Brockhaus, Repr., Amsterdam: Philo press, p. 335; Zenker 1866: 787), so kimächi is "sailor, boatman."

<sup>119</sup> Zava or Zawa is located 40km to the west of Khotan.

<sup>120</sup> There is a place called Tazghun 80km to the west of Zava, but it is unclear if it is the same place mentioned here.

<sup>121</sup> This date corresponds to November 28–December 26, 1628 A.D., the year of the Dragon.

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- (6) tüshimel 'amaldār şāhib-i jam' bitikchilärighä 'alà al-khuşūş Ōpāl kentning mīrāb vä kökbashi arbāb vä kalāntar sipāhī vä himāyati-yi beg vä begätning mulāzimlari bilä uluq kichik khwāja sharīk ra'īyatlärighä
- (7) vādih vä lāīh bolsun kim marhūmī maghfūrī (Mīr Mahmūd)<sup>122</sup> ning alti qit'a yer ata babalaridin mulklik // (khavāqīn-i mādiya) ghä ma'lūm bolghach üchün [Mīr Mahmūdgha]<sup>123</sup> mulklikkä berip ikändüklär bu uchurda <sup>v</sup> (biz)
- (8) taqi zill-i makramat vä sā'ya-i humā-i himmat [ ]<sup>124</sup> kelgän alti qiţ'a qulidaki mīrāth yetgän yerlärni mulklikini musallam tutup mulk-
- (9) likkä berdük Ōpāl şadasidä Qarābāshning sharqān Sachqānchīning yerigä muttaşil janūbān uluq yārgha muttaşil gharibān pādishāhī mamlaka yerigä muttaşil fāşila qir shamālān sāīgha muttaşil yene bir qiţ'a
- (10) Nūqāt yerining haddī sharqān Qarā Tazghūnning shākhābchäsighä muttaşil janūbān ba'dīsi shākhābchä-i madhkūrgha muttaşil fāşila sāī gharibān Nadir Aghāning hawlī vä bāghigha muttaşil ba'dīsi Bābā Yāghchi-
- (11) ning yerlärikä muttaşil ba'dīsi Malik Ajdarning yerigä muttaşil shamālān yārgha muttaşil Farrāshdaki yerining haddī sharqān Bābā Hājjīlarning yerigä ba'dīsi Qūm Qasārgha barip Ōrōnūrchīlghäghä
- (12) muttaşil janūbān 'āmm yolgha ba'dīsi yerlärigä vä ba'dīsi gurunjilargha muttaşil gharibān 'āmm yolgha muttaşil Kāshghardaki yol üstidäki iki tigärmän shamālān bāghlar östängighä muttaşil yene Būlghūq
- (13) yerining haddī sharqān Tungluq Mahāzīsidä shūristān janūbān ūlūq östängighä muttaşil gharibān Yāghchīning bāghigha ba'dīsi qādīlarning yerigä muttaşil vä ba'dīsi Jamāl Terekikäghä
- (14) muttaşil shamālān Qūrūq Tāzghūngha muttaşil vä yene Halāldaki yerlärning haddī sharqān sultānning yerigä muttaşil fāşila arīq ba'dīsi 'Abd al-Rawfning bāghigha muttaşil ba'dīsi yerigä muttaşil
- (15) janūbān Dīvāna Shāhning yerigä muttaşil ba'dīsi Halālning östängighä muttaşil gharibān mamlaka yerghä muttaşil fāşila qir shamālān Aqā Arīqning yerigä muttaşil ba'dīsi Ttärchining yerigä muttaşil vä yene
- (16) Kāhil Arīqdaki bir qiţ'a yerning haddī sharqān Ägri Terek vä ba'dīsi sulţānning yerigä muttaşil janūbān sulţānning yerigä muttaşil fāşila terekän gharibān Dīvāna Shāhning yerigä muttaşil shamālān
- (17) Faydābādning östängighä muttaşil Yermä yerining haddī sharqān Qoridāīning yerigä muttaşil janūbān 'āmm yolghä muttaşil gharibān Alā Atliqning yerigä muttaşil shamālān Halālning östängighä muttaşil
- (18) Toqrāq şadasidä Mūsāmūr Arīqida sharqān khwājalarning yerigä muttaşil fāşila qir janūbān khwājalarning yerigä ba'dī Mullā Ashrafning yerigä muttaşil

<sup>123</sup> This part is discolored too.

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<sup>&</sup>lt;sup>122</sup> This part is discolored in the text, but his name is added at the right margin of the edict.

<sup>&</sup>lt;sup>124</sup> Not legible because of the stamping of the seal.

gharibān Tūmagha muttaşil ba'dīsi 'Ala' al-Dīn-

- (19) ning bāghigha vä ba'dīsi 'Iwad Salīmning yerigä muttaşil shamālān gulgulning bāghigha ba'dīsi toghraq ba'dī Shūghāzīlarning yerighä muttaşil shakhābchäsigha muttaşil ba'dīsi Moghullarning yerigä
- (20) vä Ghalchalarning yerigä muttaşil vä yene Tigān yerining haddi nishān-i malīk ol yüzida ma'lūm bolghusidur vä yene Oy Bāgh hawli vä yerining haddi sharqān 'āmm yolgha muttaşil ba'dīsi Muhammad Qulīning
- (21) bāghigha vä ba'dīsi Mīrzā Valī Jānning bāghigha ba'dīsi shahr östängighä muttaşil gharibān Mullā Shāhmaning bāghigha vä ba'dīsi Muhammad Valī Bakhshīning vä ba'dīsi Rahīm Qulīning shamālān Mullā 'Adī Salīm-
- (22) ning vä Mullā Jalamaning vä Mīrzāning bāghigha muttaşil vä ba'dīsi Shāh Valīning ba'dī Şūfī Tūqūmning bāghigha muttaşil fāşila tam vä yene Köl Bāghning haddi sharqān Jarīm Taman janūbān ba'dīsi Pahlavān-
- (23) ning bāghigha ba'dīsi mīrānning bāghigha muttaşil gharibān 'āmm yolgha muttaşil Taman Sāī barur yolgha muttaşil bu mahdūd ichindäki yerlärini yārlīgh-i khūrshīd-sha'ā'
- (24) körgäch mushār-alayhining oghlanlarining oghlanlarigha batnān ba'd-i batin mulkī bilip hich kim mudākhilat vä mushārakat vä munāzi'at qilmasunlar qadīmidin mu'tadd aqitur yer suni
- (25) mīrāb vä kökbashi vä ra'īyat etmäsünlar vä qismasunlar här ne ta'alluq kishi qaralarini jamī'-yi takālīf-i dīvānī vä mū'nāt-i sulţānīdin [?]<sup>125</sup> bilip hich vä hich rah-gudhardin
- (26) muzāḥim vä muta'arrud bolmasunlar tanj-köngül bilä olturup zirā'at qilip här ne ḥāşilini öz madad vä ma'āshlarigha şarf qilip du'ā-i dawlat-i rūz-afzūngha mashghūl bolsunlar
- (27) dep muhrluq nishān-i 'ālīshān tā'rīkh-i ming ellig takhāqū yili Şafar ayning avākhiri dār al-salţanat-i Yārkand-i şamt 'an al-āfāt vä al-gazand vilāyatidä bitildi

(muhr) 'Abd Allāh Bahādur Khān Ghāzī<sup>126</sup>

(back) şādiq al-'aqīda muqarrab-i dargāh Shāhbāz Beg parvānasi bilän

In the Name of the Most Holy

Abū al-Fath 'Abd Allāh Bahādur Khān Ghāzī,

<sup>125</sup> The text reads "MTRF" which does not make sense in this context. In the Houghton copy, it was written khālī ("exempted, free").

<sup>126</sup> The seal is affixed on the lines 8–9.

# My Word

To [my] brothers who are the constellations of the stars like an army, the suite of the heavenly orbit, and the figures like Mercury; and to [my] sons who are mighty like Saturn, venerable like the Sun, noble, prosperous and lofty. And to the victorious and triumphant commanders who are elevated at the high place equal to the dignity of Bahrām and to the sign of Rustam; and, again, in the province of Kāshghar, to the governor and lieutenants, along with chiefs of a thousand, chiefs of a hundred, workers, listeners, superintendents, inspectors of the army, *hurchinbegi*, officials, tax-collectors, controllers, and scribes; and, especially in the town of *kent*  $\bar{O}p\bar{a}l$ ,<sup>127</sup> to supervisors of agriculture, chiefs, leaders, soldiers, patrons of attendants of *beg* and *begät*, as well as great and little khwājas, merchants, and peasants. [The following] should be clear and manifest.

Because the six sections of land belonging to the late Mīr Maḥmūd had been recognized by the former khaqāns as private property inherited from his ancestors, those have been given to him as private property. Therefore, at this moment, as for those six sections (*alti qit 'a yer*) which came under the great royal shadow, [i.e.,] the land which was bequeathed to him as inheritance, we confirm as private property and give to him as his private property. [The section] of Qarābāsh<sup>128</sup> in the *şada*<sup>129</sup> of Ōpāl. To the east it adjoins the land of Sachqānchī. To the south it adjoins a big cliff. To the west it adjoins the royal estate (*pādishāhī mamlaka yeri*), and its border is a levee. To the north it adjoins a river-bed.<sup>130</sup> Another section. As for the boundaries of the land in Nūqāt, to the east it adjoins a brook at Qarā Tazghūn.<sup>131</sup> To the south, partly it adjoins the aforementioned brook,<sup>132</sup> and its border is a river-bed. To the west it adjoins the house and the garden of Nadir Aghā; partly it adjoins the land of Bābā Yāghchi and partly the land of Malik Ajdar. To the north, it adjoins a cliff. As for the boundaries of land in Farrāsh,<sup>133</sup>

<sup>127</sup> A village lying about 48km southwest of Kashghar.

<sup>128</sup> In a map drawn by A. Stein we find two place names called "Kara-bāsh" in the eastern vicinity of Opal. See STEIN, Mark Aurel. 1928 *Innermost Asia: Maps.* Oxford: Clarendon Press: Map Serial No. 2.

<sup>129</sup> This word can only mean "a troop or company of a hundred men" (Steingass 1892, p. 784). It is not certain why the village of  $\bar{O}p\bar{a}l$  was called *sada*.

<sup>130</sup> sāī: saj ("gravel-desert, river-bed") in Jarring 1964: 263, and say in Uyghurchä-Khänzuchä lughät: 407.

<sup>131</sup> According to Shaw 1878: 63, *tazghūn* means "a stream, a flood. [Specially applied as the proper name of a River and district 14 miles south of Kàshghar.]" It is not clear whether Qarā Tazghūn is a name of a locality or just means "black stream." In Line 14 we also find Qūrūq Tāzghūn ("dry stream").

<sup>132</sup> *shākhābchā*: a diminutive suffix *-chā* is added to a Persian word *shākh-āb* ("branch of water"). See *šaxabči* ("brook, a tributary river") in Jarring 1964: 283.

<sup>133</sup> A town located about 15 miles south of Kashghar on the way to Yangihissar.

to the east it adjoins land of Bābā Hājjī; partly it extends to Qūm Qār and adjoins to Ōrōnūrchīl. To the south it adjoins the public road; partly it adjoins the land and partly to the rice-field.<sup>134</sup> To the west it adjoins the public road. There are two mills over the road in Kāshghar. To the east it adjoins the big canal (östäng) of the gardens. Another [section]. As for the border of the land in Bulghua, to the east it adjoins a salt-marsh  $(sh\bar{u}rist\bar{a}n)^{135}$  at Tungluq Mahāzī. To the south it adjoins a big canal (östäng). To the west it adjoins the garden of Yaghchi; partly it adjoins the land of the judges, and partly it adjoins Jamāl Terekikä.<sup>136</sup> To the north it adjoins Qūrūq Tāzghūn. As for the border of the land in Halāl, to the east it adjoins the land of sultan and its border is a canal; partly it adjoins the garden of 'Abd al-Rawf and partly to his land. To the south it adjoins the land of Dīvāna Shāh; partly it adjoins the big canal of Halāl. To the west, it adjoins the state land (mamlaka yer) and its border is a levee. To the north it adjoins the land of Aqā Arīq; partly it adjoins the land of Ttärchi (?). Another [section]. As for the borders of another section of land in Kāhil Arīq, to the east it adjoins Ägri Terek<sup>137</sup> and, partly, to the land of sultān. To the south it ajoins the land of sultān and its border is the poplar grove (*terekän*). To the west it adjoins the land of Dīvāna Shāh. To the north it adjoins the canal of Favdābād.<sup>138</sup> As for the borders of the land at Yermä, to the east it adjoins the land of Qoridai. To the south it adjoins the public road, and to the west the land of Ala Atliq. To the north it adjoins the östäng of Halāl. [As for the land] at Mūsāmūr Arīq in the valley of Toqraq, to the east it ajoins the land of khwājas and its border is a levee. To the south it adjoins the land of khwājas; partly it adjoins the land of Mullā Ashraf. To the west it adjoins Tūma; partly it adjoins the garden of 'Ala' al-Dīn and partly to the land of 'Iwad Salīm. To the north it adjoins the garden of roses; partly it adjoins the poplar-wood<sup>139</sup> and partly to the land of Shūghāzī. [Also] it adjoins a brook; partly it adjoins the land of the Moghūls<sup>140</sup> and to the

<sup>134</sup> gurunji: "rice" (Jarring 1964: 106).
<sup>135</sup> shūristān: a Persian word meaning "marsh, salt-marsh.

<sup>&</sup>lt;sup>136</sup> Jamāl Terekikä: terek means "poplar" in Eastern Turki, but the meaning of terekikä is unknown. Maybe my reading is perhaps not correct.

<sup>&</sup>lt;sup>137</sup> Ägri Terek: ägri or ägrü means "curved, crooked" (Raquette 1914: 5), so ägri terek means "curved poplar."

<sup>&</sup>lt;sup>138</sup> A town located about 48km east of Kashghar.

<sup>&</sup>lt;sup>139</sup> toghraq: "poplar, balsam-poplar (Populus basamifera), poplar wood" (Jarring 1964: 309).

<sup>&</sup>lt;sup>140</sup> Moghullar literally means "Mongols." Apparently in the 17th century the descendants of Tughluq Temür and nomadic tribes following them were so fully sedentarized and Turkicized that they did not call themselves "Moghul." The term Moghul in this edict seems to indicate a small number of nomads who were living in the vicinity of Kashghar and were still keeping the old nomadic customs.

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land of Ghalchas.<sup>141</sup> And as for the borders of the land in Tigān the royal decree (*nishān-i malīk*) will inform accordingly. Another [section]. As for the borders of the house and the land at Oy Bāgh,<sup>142</sup> to the east it adjoins the public road; partly it adjoins the garden of Muḥammad Qulī, partly to the garden of Mīrzā Valī Jān, and partly to the city canal. To the west it adjoins the garden of Mullā Shāhma; partly it adjoins [the land] of Muḥammad Valī Bakhshi<sup>143</sup> and partly that of Raḥīm Qulī. To the north it adjoins the gardens of Mullā 'Adī Salīm, Mullā Jalama, and Mīrzā; partly it adjoins the gardens of Shāh Valī and of Ṣūfī Tūqūm, and its border is a wall. And another [section]. As for the border of [the land at] Köl Bāgh, to the east it adjoins Jarīm Taman. To the south it adjoins partly the garden of Pahlavān and the garden of mīrs (*mīrān*). To the west it adjoins the public road and to the road going to Taman Sāī.

As soon as you see the edict of splendor, you should acknowledge that the lands within these boundaries are the private property of the descendant of the aforementioned person generation after generation. Nobody is allowed to interfere, share or oppose. You- $m\bar{r}a\bar{b}$ ,  $k\bar{o}kbashi$  and peasants—should not block or divide the flowing water and land that, from a long time ago, has been counted. And you should know that anyone and any land (*qaralar*) belonging to them are exempted from the official duties and governmental burdens and should not be injured or molested by the passengers. With a calm mind you should live and cultivate. Whatever harvest you get, you should spend it for your own benefit and living. And you should devote yourself to praying for the ever-strengthening state.

The decree affixed with a seal was written at the end of the month of Ṣafar, 1050, the year of the Sheep,<sup>144</sup> in the state of Yārkand, the tranquil [city] which is immune from misfortune and calamity.

(seal) 'Abd Allāh Bahādur Khān Ghāzī.

(reverse) Following His order, Shāhbāz Beg who is the lord of trust and the

<sup>&</sup>lt;sup>141</sup> *Ghalchalar*: according to Shaw 1878: 137, Ghalcha is "the name applied to the Aryan (Iranian) inhabitants of the mountain-districts chiefly Shîyar (Kolab, Màcha, Karategin, Badakhshân, Wakhàn, &c.); also in Eastern Turkistân applied generally to a slave bought with money."

<sup>&</sup>lt;sup>142</sup> According to *Khänzuchä-Uyghurchä Shinjang yernamliri lughiti*: 49, a village with this name is found in the vicinity of the Kuhnashahr of Kashghar.

<sup>&</sup>lt;sup>143</sup> The title of *bakhshi* came from Chinese word *boshi* (  $\ddagger \pm$  ). In Central Asia, during the Mongol period, this term denoted "Buddhist priests." However, due to their ability to read and teach scripts, the scribes in the military service who counted the number of soldiers began to be called by this title. See Doerfer 1963–75: 271–77.

<sup>&</sup>lt;sup>144</sup> This date corresponds to the middle of June, 1640, the year of the Dragon.

confidant of the court.145

# **Document 6**

(Prov. 220)

- (1) [bi-ism-i subhānahu]
- (2) Hadrat-i Khān dadam yārlīghidin
- (3) Abū al-Ghāzī Yōlbārs Bahādur Khān Ghāzī sözüm
- (4) nayyir-rif`at khūrshīd-tanvīr kayvān-murattīb tashīl-tā'thīr qarindashlar bilä
- (5) shajāʿat-shiʿar sakhāvat-dithār javān-bakht barkhūr-dār farzandlargha
- (6) vä umarā'-i kibār-i kāmgār vä vuzarā-i nīkū-kār-i 'ālī-miqdār arkān-i dawlat-i qāhira vä a'yān-i hadrat-i bāhira vä sakna-i 'ataba-i bārgāh vä sudda-i sadana-i jahān-panāh ichiki vä tashqi
- (7) khāşa mulāzimlär bilä basa Kāshghar vilāyatining zumra-i sharīfa-i tabaqāt sādāt-i 'iźām vä nuqabā-i kirām vä nujabā-i awlad-i hadrat-i sayyid al-anām 'alayhi al-şalavat vä al-salām
- (8) vä 'ulamā-i khujasta-kalām vä fudalā-i taqvà-farjām vä qudāt-i sharī'at-anjām vä şudūr-i 'ālī-maqām vä hukkām-i dhūī al-ihtishām vä rū'asā'-i nīkū-nām-i kāffa-i anām
- (9) vä jumhūr-i khavāşş 'avāmmī bilä tümän-begi ming-begi yüz-begi aymaq-begi hurchin-begi ishchi gushchi mutavallī tavachi tüshimel kull-i 'amaldār şāḥib-i jam' bitikchiläri bilä
- (10) 'alà al-khuşūş Āstīn Ārtūch mawda'ining yüz-begi hurchin-begi arbāb vä kalāntarlari bilā Ūstūn Ārtūch vä Beshkerim mawda'ining mīrāb vä
- (11) kökbashi vä kalāntarlari bilä Māyerkentning aqsaqal vä qarasaqal söz vä ishi tegär ulugh kichik khwāja sharīk ra'īyatlärighä andagh vādih vä rawshan vä
- (12) lāīh vä mubarhan bolsun kim mu'tamad al-khavāşş-i şādiq al-'aqīda vä al-ikhlāş anīs-i majlis-i khāşş vä jalīs-i bazm-i ikhtişāş Sayf Allāh Beg Churās 'aqīda vä ikhlāşini
- (13) dargāh-i zafar-panāh-i falak-qiyās vä bārgāh-i sa'ādat-dastgāh-i gardūn asāsimizdä zāhir qilip anvā'-i khidmāt-i shāyasta vä asnāf-i 'ubūdiyāt-i lāīqa-
- (14) pasandīda bi-jā kelturgan sababidin zill-i humā-i sipihr-sāī dawlat va shafaqat va sāya-yi 'ālī-pīrāya-yi rāfat va 'āţifatimizni mushār-alayhi-ning ahvāligha
- (15) shāmil tutup altaf-i //(shāhāna) bilä mumtāz vä a'taf-i //(khusrawāna) bilä

<sup>145</sup> Shāhbāz Beg is probably "Mīrzā Shāhbāz Beg Churās" mentioned in the chronicle of Shāh Maḥmūd Churās as a one of the highest amīrs of 'Abd Allāh Khān (Akimushkin 1976: 210–12, 309).

muftakhir vä sar-āfrāz qilip Yār Bālīgh östängidin bir ariq su siyūrghāl qilduq vä taqi bir qit'a yer ma'lūm al-hudūd

- (16) vä al-favāşil ke abān 'an jadd mulkī kelip turur // (biz)<sup>146</sup> taqi mulklikini qabūl qilip vä musallam tutup vaqf qilip berdük bu madhkūr bolghan yerning hudūdi butur sharqān
- (17) Ūngūr Arīqning ariqigha muttaşil shamālān Mirzā Sultān 'Alīning yerigä muttaşil ba'dīsi tātīrlīqning yerigä muttaşil fāşila qir gharibān Ārghū[gha?] barur 'āmma yolgha muttaşil
- (18) janūbān dāmana-i kūhgha muttaşil emdi yārlīgh-i jahān-muţā'-i khūrshīdsha'ā'-i lāzim al-iţā'at vä al-itbā' körgäch bu mā-bayn hudūd ichidäki yer bilä madhkūr bolghan
- (19) bir ariq suni yurt-i mushār-alayhining vä farzand ma'a farzand baţnān ba'd-i baţin qarnān ba'd-i qarin khalqī vaqf bilip hich kim mudākhilat vä mushārakat munāzi'at vä mukhāşamat qilma-
- (20) sunlar ortaqchi tärimchilärini kullī vä juzī'-i ālūqāt vä taklifātlardin mu'āf vä marfū' al-qalam bilip khuşūşān haqq-i dah-yak mutavallīliq
- (21) hākimāna narkhāna mahrāna jārāna muhtasibliq mīrābliq kökbashiliq shiqaulliq javāz ālūqī tigärmän ālūqī qolqa qonalgha otun saman hashar mardikār
- (22) mecherekdä yer iltäy dep darar zahmat etkürmay qalam vä qadam tartsunlar här kim bu humāyūn yārlīq-i muhr-sha'ā'-i sipihr-irtifā'gha khilāf qilsa mujrim vä gunah-kār
- (23) siyāsatgha sazāvār vä anvā'-i 'uqūbatgha giriftār bolghusidur dep muhrluq nishān-i 'ālīshān ta'rīkh-i ming yetmish üch āţ yili Şafar ay dār al-salţanat-i Kāshghar vilāyatidā bitildi

(muhr) Yölbārs Bahādur Khān ibn 'Abd Allāh Khān<sup>147</sup>

(reverse) mu'tamad al-khavāss sāhib al-'aqīdat vä al-ikhlās Sultān Qulī parvānasi bilä

[In the Name of the Most Holy]

By the Edict of His Majesty, My Father,

<sup>146</sup> Raquette regards the three words (*shāhāna, khusrawāna, biz*) as a part of invocation, and translates them as "Grossherrlicher Königlicher Wir" (Raquette 1930: 18). However, as I explained above, these are "elevated" words written at the top margin. At the same time, on the top-right of the document we can see a note, "hadrat-i sultāngha //," but I cannot find in the text a suitable place to it might have been meant to be inserted.

<sup>147</sup> The Khan's seal in red color, the so-called *al-tamgha*, is affixed twice on the lines 9–11 and 16–18.

Abū al-Ghāzī Yōlbārs Bahādur Khān, My Word

To [my] brothers who are exalted like stars, shining like sun, decisive like Saturn, and of penetrating influence; and to [my] sons who are valiant, generous, young and fortunate. And to the great commanders of power, the high-seated ministers of good works, the pillars of the victorious state, the chiefs of excellent dignity; and the inhabitants of the threshold of the royal tent and the world-protecting court of felicity; and the court attendant inside and outside; and, again, to the group of nobility belonging to the class of great sayyids, the eminent leaders, the grandees who are the descendants of the prince of mankind-Blessing and peace be upon him!---the religious leaders of the blessed words, the pious scholars, the judges discharging the holy law, the blissful high officials, the maginificent governors, the magistrates of good reputation among the whole of mankind, the congregation of the nobles and the commoners, along with chiefs of ten thousand, chiefs of a thousand, chiefs of a hundred, chiefs of a tribe (avmag-begi), hurchin-begi, workers, listeners, superintendants, inspectors of the army, officials, all the taxcollectors, controllers, and scribes; especially, in the place of Āstīn Ārtūch,<sup>148</sup> to chiefs of a hundred, hurchin-begi, chiefs and leaders; in places of Ustun Artuch<sup>149</sup> and Beshkerim,<sup>150</sup> to supervisors of water and agriculture and leaders; and, in [the place called] Māverkent,<sup>151</sup> to elders, and the great and little khwājas, merchants and peasants who perform speech and action. [The following] should be clear and manifest.

Sayf Allāh Beg Churās,<sup>152</sup> who is the trust of the qualities like the sincerity of faith and fidelity, the friend of the congregation of nobles, and the companion of the assembly of distinguished people, showed his faith and loyalty in front of our victorious heavenly court and the blessed celestial tent. And since he rendered various kinds of appropriate services and different sorts of pleasing obedience, we

- <sup>150</sup> A town between Kashghar and Astin Artush.
- <sup>151</sup> A locality not identified.

<sup>&</sup>lt;sup>148</sup> A town located 30km northeast of Kashghar.

<sup>&</sup>lt;sup>149</sup> Or simply known as Artuch / Artush, located about 6.4km northwest of Kashghar.

<sup>&</sup>lt;sup>152</sup> Neither Raquette nor Giese realized that this person belonged to the tribe of Churās. His name was correctly read by A. K. Borovkov (BOROVKOV, A. K. 1960 "Vakufnaia gramota 1812 g. iz Kashgara," in *Arkheograficheskii ezhegodnik za 1959 godu*, Moskva: Nauka, p. 345). Sawada Minoru also found his name in the chronicle of Shāh Maḥmūd Churās (cf. SAWADA Minoru 澤田稔 1981 "Kāshugaru Hān ke to Begu tachi: 17 seiki chūyō no Higashi Torukisutan" [n - i = j = j = n, n - i = j = n,  $n = 17 \pm i = n$ ,  $n = n = 17 \pm i = n$ ,  $n = n = 17 \pm i = n$ ,  $n = n = 17 \pm i = n$ ,  $n = n = 17 \pm i = n$ ,  $n = n = 17 \pm i = n$ , n = n = n, n = n, n = n = n, n = n, n = n = n, n = n = n, n

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extended to the aforementioned person the magnificent royal shadow of fortune and compassion and the exalted shadow decorated with magnanimity and benevolence. We distinguised him with royal favors and elevated him with kingly bounties. [Thus] we gave him the water of one canal flowing from Yār Bālīgh<sup>153</sup> Östäng as *siyūrghāl*. And, moreover, there is a section of land whose boundaries and borders are known and which has been private property from the time of his ancestors. We also confirm it as his private property and gave it as *vaqf*.<sup>154</sup> The boundaries of the aforementioned land are as follows. To the east it adjoins the canal of Ūngūr Arīq.<sup>155</sup> In the north it adjoins to the land of Mīrzā Sultān 'Alī;<sup>156</sup> partly it adjoins to the steppe land,<sup>157</sup> and its border is a levee. To the west it adjoins the public road going to Ārghū.<sup>158</sup> In the south it adjoins to the foot of the mountain.

Now, as soon as you see the mighty and splendid edict which needs to be obeyed, you should consider the land within those boundaries, along with the aforementioned water of the canal, as the estate (*yurt*) of the aforementioned person and his descedants, generation after generation. You people should know that it is the defined *vaqf*<sup>159</sup> and that nobody may interfere, share, oppose, or altercate. And as for its tenants (*ortaqchi tärimchiläri*), they should be exempted from the general

<sup>153</sup> The name of a district located to the north of the northern branch of Kashghar (= Tümän) River. Beshkerim is situated 12km to the northeast of this river (Raquette 1930: 22, note 1).

<sup>154</sup> *vaqf qilip*. Borovkov, judging from the photo-copy of the decree published by Raquette, points out that the two places where the phrase "*vaqf qilip*" is written were scraped off and rewritten by another hand, which suggests the possibility of forgery (Borovkov 1960: 345). <sup>155</sup> Probably Öngür Ariq. It seems to be a place name, as Giese presumes, but Raquette translates it "Hauptkanal" as if it is a common noun. It is not clear how he could get that meaning, because *öngür* is "a cave, which is used as a shelter for shepherds" (Jarring 1964: 219).

<sup>156</sup> This person can be the same man mentioned in the chronicle of Shāh Mahmūd Churās, according to which he was an amīr in Kashghar and, during the strife between 'Abd Allāh Khān and Yolbars, he became an adherent of Yolbars (Akimushkin 1976: 239).

<sup>157</sup> tātīrlīqning yeri. According to Maḥmūd al-Kāshgharī's dictionary, tatir yer means "steppe" and tatirligh yer means "smooth hard ground." DANKOFF, R. tr. 1982 *Compendium of the Turkic Dialects*, part 1, Duxbury, Mass.: Harvard University, Dept. of Near Eastern Languages and Civilizations, pp. 279, 367; also cf. Giese 1931: 281, note 3.

<sup>158</sup> *ārghū barur 'āmma yolgha*: "an die als Scheidelinie sich hinziehende allgemeine Strasse" (Raquette); "an den öffentlichen Weg, der nach Argu geht" (Giese). Apparently Raquette understood *ārghū* as meaning "boundary line." However, in the dictionary of Maḥmūd al-Kāshgharī, this word is explained as "ravine between two mountains" (Dankoff tr. 1982, part 1, p. 151). Giese regarded it as a proper name, but as he himself admitted the construction of *ārghū barur*, not *ārghūgha barur*, is grammatically awkward.

<sup>159</sup> *khalqī vaqf. khalqī* cannot be other than adjectival form of *khalq*, and, if so, *khalqī vaqf* can mean a kind of "communal *vaqf*." However, we should admit that it is a very odd combination.

as well as partial taxes ( $\bar{a}l\bar{u}q\bar{a}t$ ) and levies. In particular, you should not inflict harm in writing and action, by saying that "The land makes profit," by way of [collecting] the tithe, the fee for superintendants, the fee for governors ( $h\bar{a}kim\bar{a}na$ ), the expense for taxation (*narkhāna*), the marriage fee (*mahrāna*), the messenger fee (*jarāna*), the police fee (*muḥtasibliq*), the fees for supervisors of water (*mīrābliq*) and agriculture (*kökbashiliq*), the fee for masters of ceremony (*shiqaulliq*), the oil-press fee (*javāz ālūqī*), the water-mill fee (*tigärmän ālūqī*), the quartering fee (*qolqa qonalgha*), fire-wood (*otun*), straw (*saman*), [and] corvées (*hashar mardikār mecherek*), saying that "I will let the land be cultivated."<sup>160</sup> Anyone who violates this royal edict of heavenly loftiness shining with the seal shall be held to be a criminal and subjected to punishment.

The exalted decree with seal is written in the month of Safar, 1073, the year of the Horse,<sup>161</sup> in the state of Kāshghar.

(seal) Yölbārs Bahādur Khān ibn 'Abd Allāh Khān.

(reverse) Following His order, Sultān Qulī who is the special trustee and the lord of trust and sincerity.<sup>162</sup>

**Document 7**<sup>163</sup> (Prov. 227)

- (1) [bi-ism-i subhānahu]
- (2) [Muḥammad Ismā'īl Bahādur Ghāzī sözüm]<sup>164</sup>
- (3) kayvān-qadr sipihr-iqtidā' 'adīl al-badr khūrshīd-i'tibār zumra-i khāndān
- (4) khilāfat-i thamara-i shajara-i  $[?]^{165}$
- (5) shajā'at-shi'ar sakhāvat-dithār qarindashlar bilän mihr-i sipihr-i pādishāhliq

<sup>160</sup> *yer iltäy*. The verb *iltmek* means "to bring, to carry" (Vámbéry 1867: 237). So the literal meaning of *yer iltäy* is "we (or I) will bring the land," but in this context it can be understood as "we will expropriate [the profit] of the land."

<sup>161</sup> This date corresponds to August 16–September 14, 1662, the year of the Rat.

<sup>162</sup> Sultān Qulī seems to be "Sultān Qulī Beg" mentioned in the chronicle of Shāh Mahmūd Churās (Akimushkin 1976: 234, 239). He was a dignitary under Yolbars Khan but later plotted a conspiracy against him.

<sup>163</sup> This document is badly damaged especially in the left edge and it is very difficult to read. Some of these words are more clearly shown in the Houghton copy.

<sup>164</sup> The first two lines are missing in the original document, but can be filled base on the Houghton copy.

<sup>165</sup> In the Houghton copy "// (shāhāna)."

badr-i mayz-i shahinshāhliq farzanda-i a'lām-i dīn vä dawlat farzanda-i chirāgh-i mulk vä millat 'azīm al-shā'n

- (6) dawlat-qarīn sa'ādat-yār javān-bakht barkhūr-dār farzand basa Kāshghar vilāyatining zumra-i tabaqāt-i sādat-i 'izām vä qudāt-i sharī'at-anjām
- (7) vä nuqabā'-i kirām vä 'ulamā-i khujasta-kalām vä fudalā-i farkhunda-farjām vä ahālī-yi vājib al-ihtirām vä umarā-i mulk-ārā-i gardūn-madār vä vuzarā-i kāfī-kifāyat-i rāī-āthār vä arkān
- (8) vä a'yān-i hashamat-i bī-intiqāl vä rū'asā-i nīkū-nām-i <vä> zumra-i anām vä jumhūr-i khavāşş vä 'amm vä kāfa-i ahl-i Islām bilän tümän ming qushun yüz on-begi aymaq vä hurchin-begi ishchi gushchi
- (9) tüshimel 'amaldār şāhib-i jam' bitikchilär bilä 'alà al-huşūş Āstīn Ārtūch qariyasining üstünidä manşūb bolghan hākim vä darugha vä mutavallī vä qādī vä arbāb kalāntar-
- (10) lari bashliq ulugh kichik khwāja sharīk ra'īyatlärighä vādih vä lāīh vä huīda bolsun kim janāb-i fadāīl-ma'ab siyādat-intisāb sharīf-ansab bi-Kamāl al-Dīn mīr sayyid sharīf
- (11) vä al-dīn vä mazhar-i shar' hāmī-yi ahl al-īmān māhī-athār al-kufr vä al-ţughiyān mukarram-i bi-ikrām ikhbār-i mu'jīz-āthār *avval min Islām min al-Turk al-Satūq mahī al-dīn*
- (12) chunān yangliq mazār-i pur-anvār fayd-āthārlari bilä avqāf larighä abān 'an jaddin shaykh vä mutavallī vä qādī kelgäch khavāqīn-i mādīya vä salāţīn-i sābiqa musallam tutup bu
- (13) kishilärni berip igändüklär bu uchurda taqi mushār-alayhining shaykh vä mutavallī vä qādīliqini musallam tutup humāyūn yārlīghlarining madmūnighä muţţali' bolup
- (14) Nizām Khwājani shaykh vä mutavallī vä qādī qilip bu mazār-i pur-anvārning mashhad navāhīsidaghi olturghan mujāvir vä musāfir yerlik vä yersiz kishiläri kim bu buzurgvārgha [yārlīgh-i]<sup>166</sup>
- (15) jahān-muţā'-i khūrshīd-sha'ā'-i falak-irtifā' körgäch mushār-alayhini shaykh vä mutavalli vä qādī bilip vä musallam tutup hich kim belkä hich āfarīda bu mashhad-i mutabarrakkä vä nīk-rāīkä
- (16) hich mamar rah-gudhārdin munāzi'at vä mushārakat vä mudākhilat qilip muzāhim vä muta'arrid bolup tashvīsh-i khāţir bermäsünlär vä yene bu madhkūr bolghan avqāf yerlärni zirā'at
- (17) qilghan vä bāgh etgän kishilär dah-yakingizlärni qadīm dastūr bilä rāstliq qilip sharī'at-i gharrā yosūni bilä beringizlär mushār-alayhini shaykh vä mutavallī vä qādī

<sup>&</sup>lt;sup>166</sup> Not clearly legible. According to the Houghton copy, we can put here "// ( $b\bar{z}$ )." But in the original document, we see on the right margin, between the lines 14 and 15, a word *oghli* in red ink.

- (18) vä ikrāmlarini kama haqqa bi-jā keltürüp olarning rāī vä istisvābin özkä qilmay amrlarini vājib vä lāzim bilip buyurghan maşlahatlik khidmatlarighä khilāf
- (19) qilmangizlar bu madhkūr yerlärni bī-idhn bī-rukhşat mutaşarraf bolup zirā'at vä 'imārat qilmangizlar zirā'at vä 'imārat qilghan kishilär buyurghanni
- (20) bi-jāī keltürmasalar mushār-alayhighä idhn berdük kim alip khidhmatni bi-jāī keltürür kishilärgä bersünlär ta bu şadaqa-i jāria mansūkh bolmasun vä yene bu madhkūrghä
- (21) muzāḥim vä mutaʿarriḍ bolup ḥashar mardikār qolgha qonalgha sar qonalgha vä khīra-dūdī shiqaulluq qurimanliq mīrābliq dakḥī []<sup>167</sup> oqush cherīk [?otun]<sup>168</sup>
- (22) saman ulagh ulaghchiliq küchetāna dābitāna jārāna körümāna mechereg narkhana sābūnchiliq almasunlar vä salmasunlar vä ikän tigän bāgh vä javāz tigärmänlärikä nemä käräk juvālghalarini
- (23) jamī'-yi takālīf-i dīvānī vä mū'nāt-i sulţānīdin sawā dastasini min-hu vä marfū' al-qalam vä fārigh al-bāl olturup ol mutabarrak āstānada khidmat qilip adā-i istihqāqgha
- (24) mashghūl bolsunlar mutavalliyān vä gumāshtahā-i şudūr vä sāīr-i mutaşaddiyān-i āmūr mushār-alayhining shaykh vä mutavallī vä qādīliqini
   []<sup>169</sup> kishilärni bu tārīkhdin
- (25) qilip taghayir vä tabdīl bersälär ol kishilär []<sup>170</sup> zumräsidä bolup la'nat-karda-i dunyā vä ākhirat bolup bu buzurgvārning
- (26) marhūm bolghularidur dep tārīkh-i ming seksen sekiz bechin<sup>171</sup> yili Jamīd al-thānī ayning avākhirī Yārkand dār al-salṭanasida da muhrluq nishān-i 'ālīshān bitildi

(muhr) Qutb-i Dawla Muḥammad Ismā'īl Khān ibn 'Abd al-Raḥīm Bahādur Ghāzī $^{\rm 172}$ 

[In the Name of the Most Holy

Muḥammad Ismā'īl Bahādur Ghāzī, My Word]

<sup>167</sup> Not legible.

- <sup>168</sup> Not clearly legible.
- <sup>169</sup> Not legible.
- <sup>170</sup> Not legible.
- <sup>171</sup>  $t\bar{a}\bar{u}q$  (Houghton copy).
- $^{172}$  The seal is twice affixed on the margin to the right of the lines 3 and 4, and on the lines 10-11.

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To [my] brothers who are powerful like Saturn, prominent like the heavens, righteous like the full moon, respectable like the sun, the company of the family of the caliphate, the fruit of royal tree, valiant and generous; to [my] sons who are the heavenly sun of royal magnificence, the exalted moon of kingly pompousness, the sons who are the standards of the religion and the state, the sons who are the lamps of kingdoms and creeds, and who are lofty, fortunate, blissful, noble and prosperous. And, in the province of Kashghar, to the group of great savvids of various classes, the judges discharging the holy law, the auspicious scholars, the people befitting to veneration, the commanders who adorn the heaven-revolving kingdom, the poweful ministers who possess the penetrating opinion, the pillars and the chiefs of firm magnificence, the magistrates of good reputation among mankind, the congregation of nobles and commoners, and all the people of Islam; and to chiefs of ten thousand, chiefs of a thousand, chiefs of regiment, chiefs of a hundred, chiefs of ten, chiefs of a tribe (aymaq[-begi]), hurchin-begi, workers, listeners, officials, all the tax-collectors, controllers, and scribes; and, especially, [the officials] who have posts in the village of Astīn Artūch such as governor, lieutenant, superintendants, judges, chiefs and leaders, as well as the great and little khwājas, merchants and commoners. [The followings] should be clear and manifest.

The khaqāns of the former days and the sultāns of the past had confirmed Kamāl al-Dīn and Mīr Sayyid Sharīf vä al-Dīn, who were the lord of excellency, sublimity and eminence, as [the guardians of] the glorious and gracious mausoleum<sup>173</sup> – the manifestation of the holy law, the defender of the people of faith, the eraser of the trace of unbelief and sedition, the venerable who performs the miracle, i.e., al-Satūq Maḥī al-Dīn, "The first who embraced Islam among the Turks"<sup>174</sup> – along with its *awqāf*. They had given [priviliges] to these people. Now, we bestowed again the exemption to [those who hold] the post of shaykh, superintendent and judge of the aforementioned [place], and, having considered the contents of the royal edicts, we appointed Nizām Khwāja as shaykh, superintendent and judge. Those who live in the environs of this glorious holy mausoleum – whether they are neighbors or travellers, natives or non-natives – as soon as they see [our edict of] splendor and authority, should recognize that the aforementioned person is shaykh, superintendent and judge of the not judge of the holy place. Anyone or any creature should not, by means of transit and travel, be injurer and hinderer by opposing, sharing

<sup>&</sup>lt;sup>173</sup> Line 12: *yangliq*. "Equal, same" (Shaw 1878, p. 197; Vámbéry 1867, p. 351).

<sup>&</sup>lt;sup>174</sup> Avval min Islām min al-Turk al-Satūq. This refers to Satuq Boghra Khan, a Qarakhanid ruler, widely regarded by the people of Eastern Turkestan as the first nomadic monarch who embraced Islam. There is a legend about his conversion with the help of a şūfī named Abū al-Naşr Samanī. See HANEDA Akira 羽田明 1982 *Chūō Ajia shi kenkyū* 『中央アジア史 研究』, Kyoto: Rinsen shoten, pp. 435–48.

or interfering with this blessed mausoleum, thus disturbing his mind. And those who cultivate the aforementioned land of *awqāf* and make a garden should prepare the tithe according to the old customs and deliver it according to the illustrious custom (vosūn) of the holy law. You should acknowledge the aforementioned person as shaykh, superintendent and judge and should pay appropriate respect to him. And you should not act against his opinion and approval and you should, acknowleging his commands as obligatory and necessary, not oppose his advice. Without permission or approval you should not appropriate the aforementioned land and cultivate or construct [there]. If those who cultivate or construct do not follow his commands, we permit the aforementioned person to seize and give it to those who properly serve his commands, so that the issued donation should not be ineffective. And, again, you should not injure or harass by taking or imposing [such levies as]<sup>175</sup> corvées (hashar mardikār), quartering fees (algha gonalgha sar *qonalgha*),  $kh\bar{i}ra-d\bar{u}d\bar{i}$ , <sup>176</sup> the fee for *shiqaul* (*shiqaulluq*), *qurimanliq*, <sup>177</sup> the fee for supervisors of water (*mīrābliq*), *vahī oqush* (?), the fee for soldiers (*cherīk*), straw (saman), [conscription of] beasts (ulagh), the fee for beasts (ulaghchilig), the fee for planting trees (küchetāna), the levy for superiors (dābitāna), the messenger-fee (*jārāna*), the fee for interview (*körümänä*), corvées (*mechereg narkhana*), and the soap-fee ( $s\bar{a}b\bar{u}nchiliq$ ). As for the garden and the lawful plot of land that you plant and cultivate, you, without taking any necessary provision, should be exempted from the official levies and the court provisions and live with peaceful mind, devoting yourselves to fulfil your service and duties at that blessed mauseoleum. From this time on, if superintentants, high officials and other lower officials who are in charge of affairs change or alter the posts of *shavkh*, superintendent or judge of the aforementioned [place], that person shall be [regarded as belonging to] the group of [criminals], and shall be cursed in this world and the next, and shall be forbidden from this holy place.

The exalted decree affixed with a seal was written at the end of the month of Jamid al-thani, 1088, the year of the Monkey,<sup>178</sup> in the state of Yarkand.

(seal) Qutb-i Dawla Muhammad Isma'īl Khān b. 'Abd al-Rahīm Bahādur Ghāzī.

<sup>&</sup>lt;sup>175</sup> For these terms, see the explanation in the previous section.

 $<sup>^{176}</sup>$  khīra means "confounded, dazzeled, torpid, etc." (Steingass 1892: 491–92), and  $d\bar{u}d$  "smoke, vapour" (Steingass 1892: 541), so khīra-dūd can mean "smoke that makes someone confounded" such as opium or hashish. Does this term thus mean "a subsidy for opium-smoking"?

<sup>&</sup>lt;sup>177</sup> This is certainly quriman + liq, but I do not know the meaning of quriman.

<sup>&</sup>lt;sup>178</sup> This date corresponds to the end of August 1677, the year of the Snake.

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